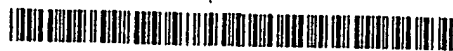


(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
16 August 2001 (16.08.2001)

PCT

(10) International Publication Number  
**WO 01/58238 A2**

- (51) International Patent Classification: Not classified
- (21) International Application Number: PCT/US01/03168
- (22) International Filing Date: 31 January 2001 (31.01.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/179,057 31 January 2000 (31.01.2000) US  
60/191,878 23 March 2000 (23.03.2000) US  
60/216,847 7 July 2000 (07.07.2000) US
- (71) Applicant (for all designated States except US): COLLABORATIVE TECHNOLOGIES, INC. [US/US]; 50 East Loop Road, Stony Brook, NY 11790-3350 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): AUST, Duncan, T. [US/US]; 8 Wampum Trail, Ridge, NY 11961 (US). WILMOTT, James, M. [US/US]; 4 Ridgefield Drive, Shoreham, NY 11786 (US). CRAWFORD, Timothy, K. [US/US]; 63 Sycamore Road, Rocky Point, NY 11778 (US).
- (74) Agents: LESSLER, Jay, P. et al.; Darby & Darby P.C., 805 Third Avenue, New York, NY 10022-7513 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— with declaration under Article 17(2)(a); without classification and without abstract; title not checked by the International Searching Authority
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

BEST AVAILABLE COPY

WO 01/58238 A2

(54) Title: METHOD AND SYSTEM FOR PRODUCING CUSTOMIZED COSMETIC AND PHARMACEUTICAL FORMULATIONS ON DEMAND

(57) Abstract:

5

METHOD AND SYSTEM FOR PRODUCING CUSTOMIZED  
COSMETIC AND PHARMACEUTICAL FORMULATIONS ON DEMAND

-

FIELD OF THE INVENTION

10

This invention relates to a method and system for producing customized cosmetic and pharmaceutical formulations on demand.

BACKGROUND OF THE INVENTION

15

20

25

It is becoming increasingly desirable for a company to manufacture products which are customized to the user's wishes and needs. One product that has largely resisted this trend, however, is cosmetics, particularly high quality topical lotions. Generally, a company offers only a limited number of lotion formulations for sale. These formulations are selected to provide the largest possible consumer base. As a result, consumers with special needs or desires are often marginalized and cannot find the products they desire for a reasonable price.

30

Several attempts have been made to provide for customized cosmetic products at a point of sale location. For example, Clinique has implemented a computer aided questionnaire system which selects the company's product that most closely matches the user's characteristics. However, the products are all pre-manufactured and there is no customization.

U.S. Patent No. 5,622,692 to Elizabeth Arden discloses a method and system for customizing facial

-2-

foundation products. The system uses an electronic sensor to determine the skin-type of a customer and provides that information to a computer system which formulates and then initiates the mixing of an optimal foundation product. However, the disclosed system is directed primarily to adjusting the color of a premixed foundation base and not to cosmetic products generally. Moreover, the '692 patent does not address the method of determining the customized formulation, nor does it address how to manage the formulation when the customization process permits alteration of the entire composition, as opposed to simply color.

#### SUMMARY OF THE INVENTION

A method and system for selecting and producing a customized cosmetic or pharmaceutical formulation is disclosed below. The system can be implemented in an Internet based system or a stand-alone version, such as a Kiosk. An improved method of custom formulation is presented which utilizes the user's preferences and profile, as well as external factors. The customized formulation can be directed to a manufacturing facility for on-demand production. Alternatively, a printout of the formulation can be provided for subsequent use at point-of-sale locations, such as a cosmetics store or a pharmacy. The custom formulation software can also be provided in conjunction with a cosmetics manufacturing kit for use in home or business application.

#### BRIEF DESCRIPTION OF THE DRAWINGS:

The foregoing and other features of the present invention will be more readily apparent from the

-3-

following detailed description and drawings of illustrative embodiments of the invention in which:

FIG. 1 shows an Internet-based system for providing customized cosmetic products on demand;

5           FIG. 2 is a flow diagram of the general operation of the server of Fig. 1;

FIG. 3 is a block diagram of one embodiment of the software system for the server of Fig. 1;

10           FIG. 4 is a block diagram of a representative manufacturing facility suitable for implementation in a point-of-sale location;

FIG. 5 is a block diagram of a stand-alone implementation of the present invention;

15           FIG. 6 is an illustration of an on-demand kiosk system suitable for implementing the present invention;

FIG. 7 is a diagram of a manual mixing station and a customized formulation system according to an embodiment of the invention;

20           FIG. 8 is a diagram of a custom cosmetic manufacturing kit including materials and software according to various aspects of the invention;

FIG. X1 is a high level representative flow diagram of a particular implementation of various aspects of the invention;

25           FIG. X2 shows a representative computer screen for receiving customer profile information used to determine base and aesthetic modifiers for use in the custom composition

30           FIGS. X3A-X3E are illustrations of a detailed questionnaire used to establish a customer profile and the customer's concerns that the product is to address;

-4-

FIG. X4 is a figure illustrating the various steps which are used to generate a customized formulation;

FIGS. X5-X8 illustrate a method for tuning a formulation selection in accordance with profile and environmental factors;

FIGS. X9 - X11 illustrate use of user input used to select active ingredients for use in the composition; and

FIG. X12 illustrates various customized element selection options.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS:

Particular methods and systems for producing a customized composition for at least one of topical, oral, nasal, anal, ophthalmic, and vaginal application, which method is suitable for use in either a distributed Internet-based system or an on-demand kiosk manufacturing system will now be discussed.

Turning to Fig. 1, there is shown an Internet-based system for providing customized cosmetic and pharmaceutical formulations on demand. The system 10 comprises a server 12 which can be accessed via a network 14, such as the Internet, by a plurality of clients 16.1 to 16.n. Preferably, the server is an HTTP server and is accessed via conventional Internet web-based technology. The clients 16 are computer terminals accessible by a user and may be customized devices, such as data entry kiosks, or general purpose devices, such as a personal computer. A printer 22 can be connected to a client terminal 16. The server is connected to a client

-5-

database 18 and, either directly or indirectly through network 14, to a manufacturing facility 20.

The manufacturing facility 20 can be located proximate to the server 12 and be part of an overall customized ordering and manufacturing system. Alternatively, manufacturing facility 20 may be remotely located from both the server 12 and the clients 16. For example, if the system 10 is used by a dermatologist to develop a customized prescription cream, the formulation can be forwarded directly, such as via e-mail, to a manufacturing facility located in a pharmacy or hospital. In yet a further embodiment the manufacturing facility can be located proximate a client 16. This arrangement is particularly well suited for a kiosk-based on-demand manufacturing system, e.g., such as may be located in a point-of-sale establishment. These three potential connections to the manufacturing facility 20 are illustrated in Fig. 1. Although multiple manufacturing facilities located at different places can be provided, generally only one connection will need to be implemented in a particular embodiment of the invention.

Fig. 2 is a flow diagram of the general operation of a process executed by server 12. When a user accesses server 12 and indicates that they want to place an order for a customized cosmetic product (step 30), a determination is made regarding whether the user is new to the system or not. (Step 32). If the user has previously accessed the system and completed a user profile, at least some of the user profile is retrieved (step 34). Typically, the user will be asked to enter their ID, either directly on a keyboard or card reader, or indirectly, depending upon how the client terminals 16 are implemented and where they are located. For example,

-6-

if the terminals 16 are located in a commercial establishment, customers may be issued access member or discount cards that can be swiped to gain access to the system. If a terminal 16 is a home computer, the user's ID can be stored as an Internet cookie which is automatically transmitted to the server 12 when accessed by the user. The user can be given the option of adding to or correcting their profile and storing the updated information.

10           If the user is new to the system, a user profile questionnaire is provided to the user. (step 36). The user profile includes at least that information which is useful for formulating a customized cosmetic product, such as one or more of the user's age, sex, race, skin type, skin color, allergies, etc. Additional profile information can also be requested for other purposes, such as directed advertising, discounts on related products or other purposes.

20           Once a completed questionnaire is returned, the new user is assigned a unique ID and the profile data is stored in the user database. The ID is communicated to the user and, in a card-based system, may be printed or otherwise encoded on an access card which is subsequently dispensed in accordance with conventional techniques. Depending on implementation, a user's profile can be stored on such a card, particularly cards using so-called "smart-card" technologies. In such as case, the information stored in the client database 18 can be limited or used for backup purposes only or perhaps eliminated entirely.

30           Once the user has accessed the system and provided their ID, the available products are communicated to the user and the user is asked to select

-7-

the general type of product they are interested in, such as a sun-screen or moisturizer. The indication can be the same for all users. Preferably, however, the indication is modified in accordance with the user profile, and perhaps a history of prior orders, to emphasize products which may be of particular interest and remove items which are unlikely to interest the customer or feature them less prominently. Alternatively, the user can fill out a questionnaire and indicate their general product needs and the system can advise the customer of the correct products.

The user is then asked to select the general type of product of interest, if more than one is available, and subsequently indicate how they would like the product customized, either indirectly by defining their product needs, or directly, as in the case of a medical application, by specifying that certain compounds, such as medicinal agents, must be included in particular concentrations. (step 42). The type-selection and customization can be performed via a single questionnaire, or can be an iterative process as some customization factors may only be appropriate for specific product types. The factors which can be customized include, but are not limited those which effect the aesthetic quality of the product, the active ingredients or performance properties of the product, as well as other miscellaneous factors.

During the customization process, the user (preferably when the user is a pharmacist or medical practitioner), such as aloe. Specific customization of this type is particularly well suited for production of custom compositions which include pharmaceutical and therapeutic active agents. In such cases, the user can



-8-

be permitted to specify the actual or relative quantity of the active agent to be added, for example a 10% Retin-A™ cream. Alternatively, and more preferably for non-medical uses, the user is prompted to select the desired characteristics of the product without particular regard for the specifics additives and quantities of those additives which are used to achieve that characteristic. For example, a user may request a moisturizing lotion which includes a sun-screen agent. In most instances, the user is generally unconcerned with which additive is used to achieve the requested characteristic and the system itself selects the appropriate effective amounts of particular additives to achieve the desired effect.

Some characteristics of the custom order can be specified according to a "performance scale" or strength. If a well established reference number for a property is available, such as the SPF number for sun screens, the user is permitted to specify that number. If, however, an established weighting is not widely known, the property can be specified on an arbitrary scale. For example, the "density" of a cream, can range from very light and a low residual (zero) to very emollient with a noticeable residual (1000).

Customization of cosmetic compositions manufactured using a preferred and newly developed chemical process is discussed in more detail below

Based on the properties and characteristics of the customized product specified by the user, and possibly the user's profile, an initial cosmetic formulation is selected. (Step 44). The formulation comprises a base composition to which one or more additives are added, such as active agents and adjuvants, the type of which and quantities are specified by the

-9-

formulation. The initial formulation can be generated on-the-fly. Preferably, however, the specific additives and associated quantities are selected from a set of predefined formulations or partial formulations which generally cover the spectrum for the available customizable properties. In a preferred embodiment, the predefined formulations or additive specifications are defined on a coarser scale than the user is permitted to specify. Thus, while the user may be permitted to request a cream emolliency (e.g., how oily the cream feels) on a scale from 0 to 1000, only 11 predefined additive specifications may be provided, corresponding to selections from 0 to 1000 at 100 point intervals. Coarser specifications are preferred because it simplifies situations where different additives are required to achieve different attributes on the customization scale.

For example, additive A in varying quantities may be best suited to provide a sun screen having an SPF ranging from 2 to 4. However, adding enough additive A to achieve an SPF of 8 may compromise the aesthetic quality of the final product. Thus, it may be more appropriate to use additive B for the SPF range of 4 to 8 and additive C from the range of 8 to 20.

Once a formulation has been selected, it is modified using knowledge of how changes in the quantity of the various additives to the base composition effect the final product to provide a formulation which meets the user's specific customization selection. For example, there may be one base composition for acidic formulation, e.g., formulations having a pH of less than 4, and a different base composition for pH neutral formulations.

-10-

Continuing the SPF example, if the user has specified an SPF of 4.5, the closest predefined formulation may be for an SPF of 4 having a defined quantity Q1 of additive A. Based on knowledge of how the SPF varies with variations in the quantity of additive A, the amount of A in the formula is adjusted to achieve the desired SPF rating. In addition, further refinements to the predefined formula can be made in view of the user profile. For example, a pH adjuster might be added to adjust the final pH of the product as desired or needed.

Finally, the formulation can be further modified based on external factors, such as where the user intends to use the product, the time of year, local weather, etc. For example, if the user intends to use the product in the North East during winter, an area typically very dry, the formulation can be modified to include or increase the amount of an added moisturizer or the user can be prompted to select or reject such a modification. If the product is to be used in Florida during June, the system may suggest the addition of a sun screen or a higher SPF level. Preferably, the modifications based on external factors are proposed to the user and can be accepted or declined prior to being incorporated into the customized formulation.

As can be appreciated by those of skill in the art, because many various factors may be considered when customizing a formulation, an initial formulation may prove to be unsuitable once all of the additives have been identified. Thus, the formulation adjustment process can be iterative in nature wherein several modified formulations are evaluated until a suitable customized formulation is generated.

-11-

Once a final customized formulation is developed, it is output by the server (step 50) and directed to the appropriate location. If the user has requested that the product be manufactured, the order can  
5 be directed to the manufacturing facility 20. As discussed above, this facility can be located proximate to the server or client or remotely located from both.

Another preferred technique for generating a customized formulation is illustrated and discussed with  
10 reference to Figs. X1-X12.

In this technique, a library of predefined partial formulations is provided, which formulations define the overall aesthetic quality of the customized product, such as its emollient quality. The predefined  
15 formulations are each assigned a respective value, such as zero to 1000. Preferably, the formulations are configured to provide essentially the same aesthetic quality even after the addition of up to approximately 10% or so of additional materials, which materials may  
20 include active and other elements. The initial formulation value is selected based on a rough scale of how dry or oily the user's skin is. The value is then tuned according to other profile factors to determine the formulation for the product which has the correct  
25 aesthetic features, e.g., to restore the user's skin to an ideal level between dry and oily. The final "tuned" value is used to identify the aesthetic portion of the customized formulation. The net effect of the tuning process is to adjust the initial course value to take  
30 into account how receptive the particular user will be to the selected aesthetic quality of the product since, for example, older skin generally needs more moisture than younger skin and men and women may have different

-12-

acceptable levels of skin oiliness. The formulation is then augmented with selected active ingredients according to skin problems indicated by the user and possibly further augmented with various customized element  
5 selections. In addition, it should be recognized that other fine adjustments to the formulation may be needed. For example, a pH adjuster may be necessary when elements of the formulation would otherwise result in a product which is too acidic.

10           Fig. X1 is a high level representative flow diagram of this particular implementation of the invention. As shown, the user enters skin profile information via, e.g., a form viewable through an Internet browser. This information is used to select the  
15 base and aesthetic modifiers, the active ingredient(s), and optional customized element selections.

FIG. X2 shows a representative computer screen for receiving customer profile information used to determine base and aesthetic modifiers for use in the  
20 custom composition. A wide variety of question may be asked, including how oily or dry the user's skin is, the climate where the product will be used and/or the present season, the user's age, ethnicity or complexion, and skin sensitivity. A detailed user profile and customization  
25 profile questionnaire is illustrated in Figs. X3a - X3e.

FIG. X4 is a figure illustrating the various steps which are used to generate a customized formulation. As shown, first the user enters their skin profile information, such as via the questionnaire in  
30 Figs. X3a - X3e. From this information, the aesthetic portion of the formulation is selected. The active ingredient(s) are then added to the formulation and finally any additional customized elements are added to

-13-

produce the final customized finished formulation. It should be noted that the order in which the various aspects of the formulation are defined is not critical and the order can vary if, for example, the aesthetic modifier selection is dependent upon which active ingredients are used.

FIGS. X5-X8 illustrate a method for tuning a formulation selection in accordance with profile and environmental factors. Turning to Fig. X5, the initial user selection of how oily or dry their skin is used to make a course aesthetic formulation baseline selection. In the example, the baseline is a value of 400. As shown in Figs. X6 and X7, the course formulation selection value is then tuned in accordance with the additional profile information provided by the user. The amount of tuning is based on predefined variations, such as are illustrated in the figures. Once all of the desired profile factors have been considered and used to generate tuning adjustments, the tunings are combined and used to generate the final selection of the aesthetic modifier formulation. In the present example, the course baseline value of 400 has been tuned to a final value of 461. This value is used to identify the predefined aesthetic formulation which has been selected to correspond to this place on the fine 0-1000 scale. Of course, the formulation could also be generated on-the-fly using a predefined set of relationships and formulas which define how the various aesthetic modifiers which are available affect the final product.

FIGS. X9 - X11 illustrate use of user input which is used to select the type and quantity of active ingredients to be added to the formulation. In this embodiment, the active ingredients are selected in

-14-

response to user information which describes the quality of their skin and possibly various problems. For example, the user can be asked to indicate how many facial lines they have. Based on their response a particular anti-aging and/or anti-wrinkle active ingredient can be selected along with the appropriate quantity of the agent in accordance with, e.g., a look-up table of predefined agents and quantities. If the user indicates that they have pigmentation issues, and anti-mottling agent is added to the formulation in the appropriate quantity. Similarly, if the user indicates that they have acne problems, an anti-acne agent is added. If sensitive skin is indicated, an anti-inflammatory agent can also be included in the formulation. Specific agents to select and the appropriate quantities will be known to those of skill in the art.

Finally, as illustrated in Fig. X12, the user can select to include additional customized elements which address, e.g., the products color, its fragrance, and the addition of any additional botanical agents

Turning to Figure 3, there is shown a block diagram of one embodiment of a custom formulation software system 59 executed by server 12 which is suitable for implementing the method described above and illustrated in Fig. 2. As shown, the software system includes a user questionnaire module 60 which administers the user questionnaire and stores and accesses user profiles in the database 18. A custom product selection module 62 provides users of the system with appropriate customization forms for the product of interest, perhaps with reference to customization information stored in a formulation database 68. The custom product selection

-15-

information is fed into a formulation generator 64 which, with reference to appropriate information in the formulation database 68, selects the predefined formulation, modifies it in accordance with the user's product selection and perhaps with the user profile stored in the database 18 and/or various external factors which are programmed into the system or provided via an external data port.

The formulation generator 64 can be configured to output the customized formulation to a manufacturing system which will then interpret the formula and manufacture the formula. Alternatively, particularly when the manufacturing facility 20 is proximate the computer executing the software, a manufacturing control module 66 can be provided to interpret the formula and generate the appropriate data signals to directly control the manufacturing facility. As will be appreciated by those of skill in the art, a front end and various input/output systems (not shown) are also included in the software system as are appropriate to the specific environment in which the software is operating.

Fig. 4 is a block diagram of a representative manufacturing facility 20 which is suitable for implementation in a point-of-sale location. The manufacturing facility 20 receives a custom formulation in at control system 69, which can include a computer processor having a memory 76 and programmed to interpret a custom formulation in a manner similar to that of the manufacturing control module 66 shown in Fig. 3.

The manufacturing system 20 further includes a reservoir 70 which contains suitable quantities of one or more base compositions as well as various additives, such as active agents and adjuvants. A dispensing unit 72



-16-

dispenses measured quantities of one or more of these materials in accordance with control signals 80 generated by the control system 69. The dispensed materials are combined with a mixer 74 operated via control signal 82 from the control system 69. The mixed product is then dispensed, e.g., by a packaging and labeling unit 78 controlled via signal 82. The specific pieces of hardware used to implement manufacturing system 20 depend on the scale of the device and where it is implemented, e.g., a large-scale manufacturing plant as compared with a small device housed in a stand-alone kiosk. Various manufacturing devices of this type are known to those of skill in the art and therefore specific components of the system are not discussed further herein.

In the above embodiments, the customization method was discussed with regard to a server-based implementation. However, the customization system discussed above can also be implemented on a computer system which is local to the user. Such a stand-alone system 90 is illustrated in Fig. 5. As shown, the system 90 includes a computer 92 which is used to implement a custom formulation system 59 analogous to that shown in Fig. 3 and discussed above. Computer 92 has at least one user input 94 and a display device 96. The computer 92 can drive a manufacturing system 20 and/or an output device 98, such as a printer (22). Other devices, such as a credit-card reader 100, scanner, audio output 102, modem, etc., can also be provided. The client database 18 can be stored on a local storage device or it can be located in full or part, or possibly mirrored, on a remote storage facility which is accessed, e.g., through a network, such as the Internet. Similarly, the

-17-

formulation database 68 can be located in full or part locally or remotely.

5 In one implementation, the stand-alone system 90 is implemented as a kiosk manufacturing system, such as shown in Fig. 6, which is suitable for use in a point-of-sale establishment, such as a cosmetics counter in a department store. In another embodiment, system 90 is implemented on a personal computer, perhaps-customized, which can be used wherever custom cosmetic formulations  
10 are needed.

It should be appreciated that for small-scale implementations, the client database 18 may contain information on one or possibly a few users. Further, depending on how many user profile data points are used  
15 during the customization process, the client database could be eliminated entirely and the user simply required to enter the appropriate information each time the system is used. If the information is not entered, then customization based on user profile is simply skipped.

20 In yet a further embodiment, suitable for use in either the server or stand-alone system, the customized formulation is not automatically routed to the manufacturing facility and, in fact, a manufacturing facility need not even be connected to the system.  
25 Instead, the formulation is directed to a printer 22 or other output device, such as a display, so that a printout of the customized formulation is generated. The formulation can also be stored on a user-card if one is provided.

30 The formulation output is essentially a recipe for making the customized product. Because the customized product can be mixed by hand, partially or fully, such a system can be implemented in conjunction

-18-

with a custom cosmetic kit wherein the various additives and base compositions are provided separately and measured and mixed by the user in accordance with the formulation output by the system.

5           For example, as shown in Fig. 7, the computer 92 outputs the custom formulation on display 96 or printer 22. The custom product can then be manufactured by hand in accordance with the formulation using, e.g., measuring device 72' and mixer 74'. Preferably, the base  
10       compositions and additives are of a type which can be easily mixed and a final product prepared in a short period of time, e.g., on the order of 1-10 minutes. Specific chemical composition most suitable for this use are described in detail below.

15           The system illustrated generally in Fig. 7 is also suitable for implementation as a "make-your-own" cosmetics kit for use, e.g., in the home. Such a kit 110 is illustrated in Fig. 8. Kit 110 includes a plurality of additives in containers 112, such as pre-measured  
20       single-use ampules or multiple-use syringe dispensers with volume measurement indicia thereon. A plurality of containers for mixing the custom cosmetics can also be provided. The containers 112 can be empty or may contain a measured quantity of base composition into which the  
25       additives can be dispensed. A suitable mixing wand may also be included. Finally, a computer disk 116 or other appropriate media can be included on which an appropriate version of the custom formulation software system 59 is stored. Users of the kit would install the software on  
30       their personal computer, enter the properties of the cosmetic they are interested, and possibly user profile and external data, and then simply follow the directions provided in the custom formulation. Preferably, the

-19-

software system limits the available formulations to those which can be produced using the additives provided in the kit and other additional additives which may have been separately obtained by the user. It can be appreciated that the kit need not come with the software if the formulation system is available to the user via the Internet. Alternatively, the kit can include a questionnaire that the user can fill out and, with the use of a scoring guide, determine an appropriate formulation to use from a look-up table. This embodiment has the advantage of not requiring a computerized implementation, although the formulation selection process may not be as accurate.

In yet a further embodiment, the custom formulation is provided to the user as a printout even if the user does not have direct access to the manufacturing facility. For example, the kiosk 90 of Fig. 6 can be implemented without a connection to an internal or external manufacturing facility. Similarly, the computer system of Fig. 7 can be operated without the presence of the components needed to produce the customized cosmetic product. The custom formulation printout can then be brought to a manufacturing facility, or a location where the specified formulation can be prepared by hand.

A printout-based system is particularly well suited for use in a retail establishment since the kiosk or stand-alone computer can be implemented without a manufacturing facility and, therefore, without immediate concern for receive appropriate payment. For example, the system could be placed adjacent a cosmetics counter in a department mall or a store or accessible via the Internet, such as illustrated in Fig. 1 and discussed above. A user of the system, which could be made

-20-

available without charge, would follow the on-screen directions to define their custom product and then receive a printout of the customized formulation, perhaps with a price list for various sized preparations of that formulation, the cost of various ingredients (since some are more costly than others). The printout can also indicate locations where the product could be mixed for them. The user would then bring the formulation printout to an appropriate sales clerk who will mix the formulation in accordance with the printout (either by hand or with the aid of an automated manufacturing facility) and accept payment. Such a printout-only system can also be used by a doctor to generate a formulation for a prescription product. The custom formulation can be printed on prescription Rx, signed by the doctor, and then given to the patient to be brought to, e.g., a pharmacy for custom manufacture.

According to a preferred embodiment of the invention, the formulation for topical, oral, nasal, anal, ophthalmic, or vaginal application comprises a base composition and at least one additive. The formulation may be a cream, gel, lotion, serum, or spray. The base composition comprises a rheology modifying agent and water. Preferably, the formulation contains at least one dispersion comprising suspended particles of a hydrophobic active agent, a hydrophobic adjuvant, or a combination thereof. Typically, besides the base composition, the formulation only contains water-soluble ingredients and/or dispersions of hydrophobic active agents and/or hydrophobic adjuvants. Generally, hydrophobic ingredients which are not in the form of a dispersion are not included in the formulation, or at least not in any substantial amounts.

-21-

The formulation is preferably substantially free of emulsifying surfactants. This results in a formulation which does not irritate or minimally irritates a person's skin when applied. The formulation preferably comprises less than about 3% by weight and more preferably less than about 1% by weight of emulsifying surfactants, based upon 100% weight of total formulation.

The formulation may be prepared by mixing the aforementioned base composition and the additives. Mixing may be performed with a propeller mixer or manually, i.e., by hand. Since the formulation is simple and quick to prepare, custom cosmetic and pharmaceutical formulations may be prepared at the point of sale for customers in minutes.

Mixing is generally performed at a temperature of from about 15 to about 30° C, preferably at a temperature of from about 20 to about 30° C, and most preferably at ambient temperature. Since the hydrophobic active agent or hydrophobic adjuvant is added to the base composition as a dispersion, heating and other expensive processing steps are not required to obtain a homogenous final formulation. Preferably, the formulation is not heated or prepared with heating.

Preferably, the base composition is premanufactured, i.e., prepared at a location remote from where the mixing step is performed or prepared in large quantities. The term "large quantities" is herein defined as a quantity greater than that needed to produce a single final product and is preferably many multiples times that. The base composition is typically premanufactured in large batches. The base composition may be prepared by methods known in the art.

-22-

Rheology Modifying Agents

Suitable rheology modifying agents include, but are not limited to, hydroxypropyl distarch phosphate; carbomer, guar hydroxypropyltrimonium chloride, hydroxypropyl guar, sodium hydroxypropyl starch phosphate, sodium hyaluronate, carboxymethyl cellulose, dermatan sulphate, chondrotin sulphate, hydroxypropyl methylcellulose, pectin, xanthan gum, sclerotium gum, and any combination of any of the foregoing. Preferably, the base composition contains at least two different rheology modifying agents. Preferred combinations of rheology modifying agents include, but are not limited to, hydroxypropyl distarch phosphate and carbomer; guar hydroxypropyltrimonium chloride and hydroxypropyl guar; sodium hydroxypropyl starch phosphate and carbomer; and hydroxypropyl methylcellulose and pectin.

Generally, the formulation contains from about 0.01 to about 35% by weight, preferably from about 0.4 to about 10% by weight, and more preferably from about 0.4 to about 6% by weight of rheology modifying agent, based upon 100% weight of total formulation. One or more rheology modifying agents may be included in the formulation besides those included in the base composition.

Hydrophobic Active Agent or Hydrophobic Adjuvant Dispersion

The dispersion is generally a homogenous fluid which is stable for a commercially relevant period of time. The dispersion typically remains stable for at least 2 weeks and preferably at least 2 months.

A hydrophobic active agent or hydrophobic adjuvant is an active agent or adjuvant which has a non

-23-

polar property which makes it essentially insoluble in water or water and polar solvent solution. Hydrophobic active agents and hydrophobic adjuvants of the present invention include, but are not limited to, partially and fully hydrophobic active agents and partially and fully hydrophobic adjuvants. For example, hydrophobic active agents encompassed by the present invention include compounds and complexes which contain a hydrophobic moiety.

The formulation of the present invention may also include non-hydrophobic active agents and non-hydrophobic adjuvants.

The dispersion containing the suspended particles generally contains from about 0.01 to about 70% by weight of oil, based upon 100% weight of total dispersion. Preferably, the dispersion contains from about 1.0 to about 50% by weight of oil, based upon 100% weight of total dispersion. The oil component of the formulation may include active agents and adjuvants which are oils.

The dispersion is a suspension of liquid or solid particles of colloidal size or larger in a liquid medium. Generally, the dispersion contains suspended particles, such as oil particles (or oil droplets), having a diameter less than about 500 nm. The diameter of the suspended particles preferably ranges from about 50 nm to about 500 nm and more preferably from about 250 to about 500 nm. Preferably, the oil droplets contain one or more lipophilic materials. The oil droplets may have a charge as determined by zeta potential measurements. The oil droplets may be prepared by ultra high shear mixing or microfluidization. Preferred oil containing dispersions are sold under the tradename



-24-

Sansurf™ by Collaborative Laboratories, Inc. of East  
Setauket, NY, and Dermasomes™ by Microfluidics Corp. of  
Newton, MA.

5       According to a preferred embodiment, the  
dispersion is prepared by mixing from about 0.1% to about  
70% by weight of hydrophobic active agent and/or  
hydrophobic adjuvant with from about 30% to about 99.9%  
by weight of aqueous phase under high pressure and high  
shear conditions, based upon 100% weight of total  
10       dispersion. The aqueous phase contains water and,  
optionally, other hydrophilic adjuvants. More  
preferably, the mixing is performed with shearing at a  
pressure of from about 9,000 to about 25,000 psi to form  
a dispersion having an average particle size ranging from  
15       about 50 to about 500 nm.

#### Active Agents

Suitable active agents include, but are not  
limited to, anti-acne agents, antimicrobial agents,  
20       antiinflammatory agents, analgesics, antietythemat  
agents, antipruritic agents, antiedemat agents,  
antipsoriatic agents, antifungal agents, skin  
protectants, sunscreen agents, vitamins, antioxidants,  
scavengers, antiirritants, antibacterial agents,  
25       antiviral agents, antiaging agents, protoprotection  
agents, hair growth enhancers, hair growth inhibitors,  
hair removal agents, antidandruff agents, anti-seborrheic  
agents, exfoliating agents, wound healing agents, anti-  
ectoparasitic agents, sebum modulators, immunomodulators,  
30       hormones, botanicals, moisturizers, astringents,  
cleansers, sensates, antibiotics, anesthetics, steroids,  
tissue healing substances, tissue regenerating  
substances, amino acids, peptides, minerals, ceramides,

-25-

biohyaluronic acids, and any combination of any of the foregoing.

Preferred anti-acne agents include, but are not limited to, salicylic acid, retinoic acid, alpha hydroxy acid, benzyl peroxide, sodium sulfacetamide, clindamycin, and any combination of any of the foregoing. Preferred combinations of anti-acne agents to be incorporated in the formulation include salicylic acid, retinoic acid, and hydrocortisone; sodium sulfacetamide and clindamycin; salicylic acid and clindamycin; and salicylic acid, alpha hydroxy acid, and tetrahydrozoline.

Suitable antimicrobial agents include, but are not limited to, benzalkonium chloride, benzethonium chloride, chlorhexidine gluconate, chloroxylenol, cloflucarban, fluorosalan, hexachlorophene, hexylresorcinol, iodine complex, iodine tincture, para-chloromercuriphenol, phenylmercuric nitrate, thimerosal, vitromersol, zyloxin, triclocarban, triclosan, methylbenzethonium chloride, nonyl phenoxypoly(ethyleneoxy) ethanol-iodine, para-chloro-meta-xyleneol, providone-iodine complex, poloxamer-iodine complex, triclorcarban, undecoylium chloride-iodine complex, and any combination of any of the foregoing.

Suitable antiinflammatory agents include, but are not limited to, alidoxa, allantoin, aloe vera, aluminum acetate, aluminum hydroxide, bismuth subnitrate, boric acid, calamine, casein, cellulose, microporous, cholecatciferol, cocoa butter, cod liver oil, colloidal oatmeal, cystein hydrochloride, dexpanthenol, dimethicone, glycerin, kaolin, lanolin, live yeast cell derivative, mineral oil, peruvian balsam, petrolatum, protein hydrolysate, racemethionine, shark liver oil, sodium bicarbonate, sulfur, talc, tannic acid, topical

-26-

starch, vitamin A, vitamin E, white petrolatum, zinc acetate, zinc carbonate, zinc oxide, hydrocortisone, betamethasone, ibuprofen, indomethicin, acetyl salicylic acid, tacrolimus, fluocinolone acetonide, sodium sulfacetamide, and any combination of any of the foregoing.

Suitable analgesics include, but are not limited to, diphenhydramine, tripeienamine, benzocaine, dibucaine, lidocaine, tetracaine, camphor, menthol, phenol, resorcinol, m-cresol, juniper tar, methylsalicylate, turpentine oil, capsicum, methyl nicotinate, b-glucan, and any combination of any of the foregoing.

Suitable antipruritic agents include, but are not limited to, tetrahydrozoline and hydrocortisone.

Suitable antipruritic agents include, but are not limited to, benadryl, pramoxine, antihistamines, and any combination of any of the foregoing.

Suitable antiedematous agents, include, but are not limited to, prednisolone acetate, tanin glycosides, and any combination of any of the foregoing.

Suitable antipsoriatic agents include, but are not limited to, calcipotriene, coal tar, anthralin, vitamin A, and any combination of any of the foregoing. Preferred combinations of antipsoriatic agents include, but are not limited to, hydrocortisone, retinoic acid, and alpha hydroxy acid; dovonex, salicylic acid, and a sunscreen agent; indomethicin, salicylic acid, and urea; anthralin and salicylic acid; and anthralin and indomethicin. Other suitable antipsoriatic agents include, but are not limited to, calcipotriene, coal tar, anthralin, vitamin A, and any combination of any of the foregoing.

-27-

Suitable antifungal agents include, but are not limited to, clioquinol, haloprogin, miconazole nitrate, clotrimazole, metronidazole, toinaftate, undecylenic acid, iodoquinol, and any combination of any of the foregoing.

Suitable skin protectants include, but are not limited to, cocoa butter, dimethicone, petrolatum, white petrolatum, glycerin, shark liver oil, allantoin, and any combination of any of the foregoing.

Suitable sunscreen agents include, but are not limited to, ethylhexyl methoxycinnamate, avobenzene, benzophenone-3, octacrylene, titanium dioxide, zinc oxide, and any combination of any of the foregoing.

Suitable antioxidants include, but are not limited to, scavengers for lipid free radicals and peroxy radicals, quenching agents, and any combination of any of the foregoing. Suitable antioxidants include, but are not limited to, tocopherol, BHT, beta carotene, vitamin A, ascorbic acid, ubiquinol, ferulic acid, azelaic acid, thymol, catechin, sinapic acid, EDTA, lactoferrin, rosmariquinone, hydroxytyrosol, sesamol, 2-thioxanthine, nausin, malvin, carvacone, chalcones, glutathione isopropyl ester, xanthine, melanin, guanisone, lophophyrins, 8-hydroxyxanthine, 2-thioxanthione, vitamin B<sub>12</sub>, plant alkaloids, catalase, quercetin, tyrosine, SOD, cysteine, methionine, genistein, NDG, procyanidin, hamamelitannin, ubiquinone, trolox, licorice extract, propyl gallate, sinapic acid, and any combination of any of the foregoing.

Suitable vitamins include, but are not limited to, vitamin E, vitamin A palmitate, vitamin D, vitamin F, vitamin B<sub>6</sub>, vitamin B<sub>3</sub>, vitamin B<sub>12</sub>, vitamin C, ascorbyl

- 28 -

palmitate, vitamin E acetate, biotin, niacin, DL-panthenol, and any combination of any of the foregoing.

A preferred sunscreen agent is a mixture of ethylhexyl methoxycinnamate, butyl  
5 methoxydibenzoylmethane, cyclomethicone, phospholipids, and water, and is available as Solarease™ from Collaborative Laboratories, Inc. of East Setauket, NY.

Suitable amino acids include, but are not limited to, glycine, serine, and any combination of any  
10 of the foregoing.

#### Aesthetic Modifying Agents

The formulation preferably includes at least one aesthetic modifying agent. An aesthetic modifying  
15 agent is a material which imparts desirable tactile, olfactory, taste or visual properties to the surface to which the formulation is applied. The aesthetic modifying agent may be hydrophobic or hydrophilic. The aesthetic modifying agent is preferably hydrophobic and  
20 is more preferably an oil, wax, solid or paste.

A dispersion of one or more hydrophobic aesthetic modifying agents is preferably prepared before the hydrophobic aesthetic modifying agents are  
25 incorporated into the formulation. The hydrophobic aesthetic modifying agents may be dispersed into an aqueous phase by methods known in the art, such as by ultra high shear mixing and microfluidization.

The final formulation may be prepared by mixing the dispersions containing the hydrophobic aesthetic  
30 modifying agents with the base composition and any other additives. Since the hydrophobic aesthetic modifying agents are added to the base composition as dispersions, heating and other expensive processing steps are not required to obtain a homogenous final formulation.

35 An example of an aesthetic modifying agent is a mono, di, tri or poly alkyl ester or ether of a di, tri,

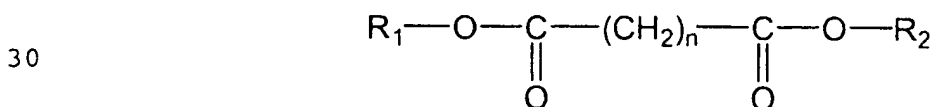
or polyhydroxy compound, such as ethylene glycol, propylene glycol, glycerin, sorbitol or other polyol compound. Examples of such esters and ethers include, but are not limited to, saturated and unsaturated, linear and branched vegetable oils, such as soybean oil, babassu oil, castor oil, cottonseed oil, chinese tallow oil, crambe oil, perilla oil, danish rapeseed oil, rice bran oil, palm oil, palm kernel oil, olive oil, linseed oil, coconut oil, sunflower oil, safflower oil, peanut oil and corn oil. Preferred saturated and unsaturated vegetable oils are those having fatty acid components with 6 to 24 carbon atoms. A more preferred vegetable oil is soybean oil.

An example of a hydrophobic aesthetic modifying agent is a compound having the formula  $C_nH_{(2n+2-m)}$  where  $n$  is an integer greater than or equal to 6 and  $m$  is 0 or an even integer no greater than  $n$ . Such compounds include, but are not limited to, saturated and unsaturated, linear, branched, and cyclic hydrocarbon chains. Preferred examples of such compounds include, but are not limited, mineral oil, petrolatum, permethyl fluids, polybutylenes, and polyisobutylenes.

Another example of a hydrophobic aesthetic modifying agent has the formula



or the formula

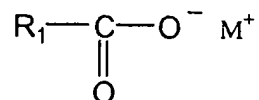


where  $R_1$  is a saturated or unsaturated, linear, branched or cyclic  $C_1$ - $C_{24}$  alkyl;  $R_2$  is hydrogen or a saturated or unsaturated, linear, branched or cyclic  $C_1$ - $C_{24}$  alkyl; and  $n$  is an integer from 0 to 20. Examples of such aesthetic

modifying agents include, but are not limited to, isopropyl palmitate and diisopropyl adipate.

Yet another aesthetic modifying agent is silicone. Silicone may provide lubrication and/or shine to the formulation. Preferably, the silicone is insoluble in water. Suitable water-insoluble silicone materials include, but are not limited to, polyalkylsiloxanes, polyarylsiloxanes, polyalkylarylsiloxanes, polysiloxane gums and polyethersiloxane copolymers. Examples of suitable silicone materials are disclosed in U.S. Patent Nos. 4,788,006; 4,341,799; 4,152,416; 3,964,500; 3,208,911; 4,364,837 and 4,465,619, all of which are incorporated herein by reference.

Another suitable hydrophobic material which can be suspended in the formulation has the formula



where  $\text{R}_1$  is a saturated or unsaturated, linear, branched or cyclic alkyl having 2 to 24 carbon atoms;  $\text{M}^{(+)}$  is  $\text{N}^+\text{R}_2\text{R}_3\text{R}_4\text{R}_5$ ;  $\text{R}_2$ ,  $\text{R}_3$  and  $\text{R}_4$  are hydrogen or a saturated or unsaturated, linear or branched alkyl or hydroxyalkyl having from 1 to 10 carbon atoms; and  $\text{R}_5$  is a saturated or unsaturated, linear, branched or cyclic alkyl or substituted alkyl having 2 to 24 carbon atoms. An example of such a material is lauramine oleate.

#### Other Adjuvants

Other suitable adjuvants which may be included in the formulation include, but are not limited to, pH adjusters, emollients, conditioning agents, chelating agents, gelling agents, viscosifiers, colorants, fragrances, odor masking agents, UV stabilizer, preservatives, and any combination of any of the

foregoing. Preferred pH adjusters include, but are not limited to, aminomethyl propanol, aminomethylpropane diol, triethanolamine, citric acid, sodium hydroxide, acetic acid, potassium hydroxide, lactic acid, and any  
5 combination of any of the foregoing.

Suitable conditioning agents include, but are not limited to, cyclomethicone, petrolatum, dimethicone, dimethiconol, silicone, quaternary amines, and any combination of any of the foregoing.

10 The formulation preferably contains less than about 0.5% by weight of preservatives, based upon 100% weight of total formulation. More preferably, the formulation contains from about 0.25 to about 0.5% by weight of preservatives, based upon 100% weight of total  
15 formulation.

While the invention has been particularly shown and described with reference to preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made  
20 therein without departing from the spirit and scope of the invention.



-32-

WHAT IS CLAIMED IS:

- 1                   1.    A method for producing a customized  
2    cosmetic or pharmaceutical product comprising the steps  
3    of:  
4                    receiving a customer order for a customized  
5    product;  
6                    retrieving a user profile associated with the  
7    user;  
8                    generating an initial formulation comprising at  
9    least one predefined partial-formulation in accordance  
10   with the customer order;  
11                   modifying the initial formulation in accordance  
12   with the user profile to produce a customized  
13   formulation; and  
14                   outputting the customized formulation for  
15   subsequent manufacture of the customized product.
- 1                   2.    The method of claim 1, further comprising  
2    the step of further modifying the initial formulation in  
3    accordance with external factors.
- 1                   3.    The method of claim 1, wherein the  
2    outputting step comprises sending the customized  
3    formulation to a manufacturing facility.
- 1                   4.    The method of claim 1, wherein the  
2    outputting step comprises printing the customized  
3    formulation.
- 1                   5.    The method of claim 4, wherein the  
2    formulation is printed as a prescription.

-33-

1                   6.    The method of claim 4, further comprising  
2    the steps of:  
3                   receiving the printed formulation at a point-  
4    of-sale location;  
5                   manufacturing the customized product in  
6    accordance with the printed formulation; and  
7                   providing the manufactured product to the user.

1                   7.    A system for producing a customized  
2    cosmetic or pharmaceutical product comprising:  
3                   a computer server connected to the Internet,  
4    the server configured to:  
5                   receive a customer order for a  
6    customized product from a client;  
7                   retrieve a user profile associated  
8    with the user from a client database;  
9                   generate an initial formulation  
10   comprising at least one predefined partial-formulation in  
11   accordance with the customer order;  
12                   modify the initial formulation in  
13   accordance with the user profile to produce a customized  
14   formulation; and  
15                   output the customized formulation for  
16   subsequent manufacture of the customized product.

1                   8.    The system of claim 7, wherein the server  
2    is further configured to modify the initial formulation  
3    in accordance with external factors.

-34-

1           9. The system of claim 7, further comprising  
2 a manufacturing facility in communication with the  
3 server, the server being configured to output the  
4 customized formulation by sending it to the manufacturing  
5 facility.

1           10. The system of claim 9, wherein the  
2 manufacturing facility is local to the server.

1           11. The system of claim 9, wherein the  
2 manufacturing facility is remote from the server.

1           12. The system of claim 7, wherein the  
2 customer order is provided to the server from a client  
3 and the server is configured to output the customized  
4 formulation by sending it to the client.

1           13. The system of claim 12, further comprising  
2 a manufacturing facility in communication with the  
3 client.

1           14. The system of claim 13, wherein the  
2 manufacturing facility is local to the client.

1           15. A computer program product for producing a  
2 customized formulation for a cosmetic or pharmaceutical  
3 product, the program stored on a computer readable medium  
4 and comprising computer instructions to configure a  
5 computer to:

6                   receive a customer order for a customized  
7 product from a user;

-35-

8                   generate an initial formulation comprising  
9   at least one predefined partial-formulation in accordance  
10 with the customer order;  
11                   modify the initial formulation in  
12 accordance with a user profile to produce a customized  
13 formulation; and  
14                   output the customized formulation for  
15 subsequent manufacture of the customized product.

1                   16. The computer program product of claim 15,  
2 further comprising instructions to retrieve a user  
3 profile associated with the user from a client database.

1                   17. The computer program product of claim 15,  
2 further comprising instructions to modify the initial  
3 formulation in accordance with external factors.

1                   18. A system for producing a customized  
2 cosmetic or pharmaceutical product comprising:  
3                   a computer configured to:  
4                   receive a customer order for a customized  
5 product from a client;  
6                   generate an initial formulation comprising  
7 at least one predefined partial-formulation in accordance  
8 with the customer order;  
9                   modify the initial formulation in  
10 accordance with a user profile to produce a customized  
11 formulation; and  
12                   output the customized formulation for  
13 subsequent manufacture of the customized product.

-36-

1           19. The system of claim 18, wherein the  
2 computer is further configured to modify the initial  
3 formulation in accordance with external factors.

1           20. The system of claim 18, further comprising  
2 an on-demand manufacturing facility in communication with  
3 the computer;  
4           the computer configured to output the  
5 customized formulation to the manufacturing facility.

1           21. The system of claim 20, further comprising  
2 a kiosk housing, the computer and the manufacturing  
3 facility being housed at least partially within the kiosk  
4 housing.

1           22. The system of claim 17, further comprising  
2 a printer;  
3           the computer configured to output the  
4 customized formulation to the printer.

1           23. The system of claim 22, further comprising  
2 a kiosk housing, the computer and the printer being  
3 housed at least partially within the kiosk housing.

1           24. A kit for producing customized cosmetics  
2 or pharmaceutical comprising:  
3           at least one container containing a base  
4 composition;  
5           a plurality of dispensers containing additives,  
6 the dispensers suitable for dispensing the respective  
7 additive in a measured quantity; and  
8           a mixing wand.

-37-

1           25. The kit of claim 24, further comprising a  
2 computer disk containing software for configuring a  
3 computer to:

4           receive a specification for a customized  
5 product from a user;

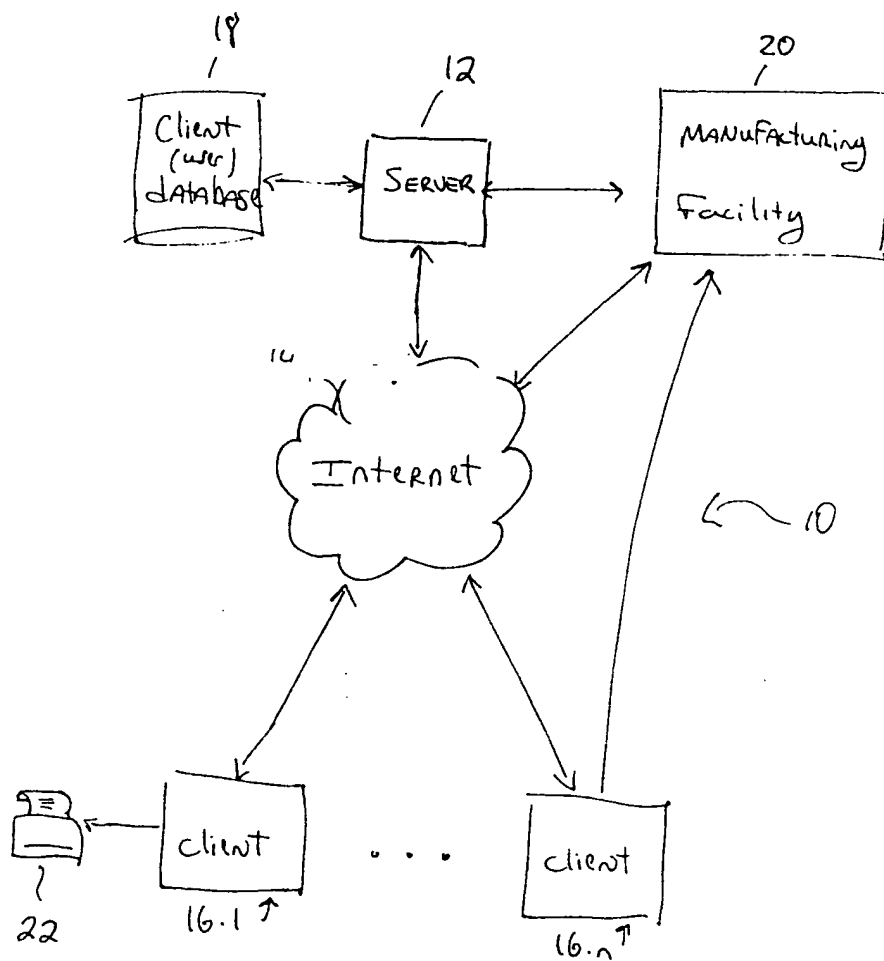
6           generate a customized formulation for the  
7 specified customized production; and

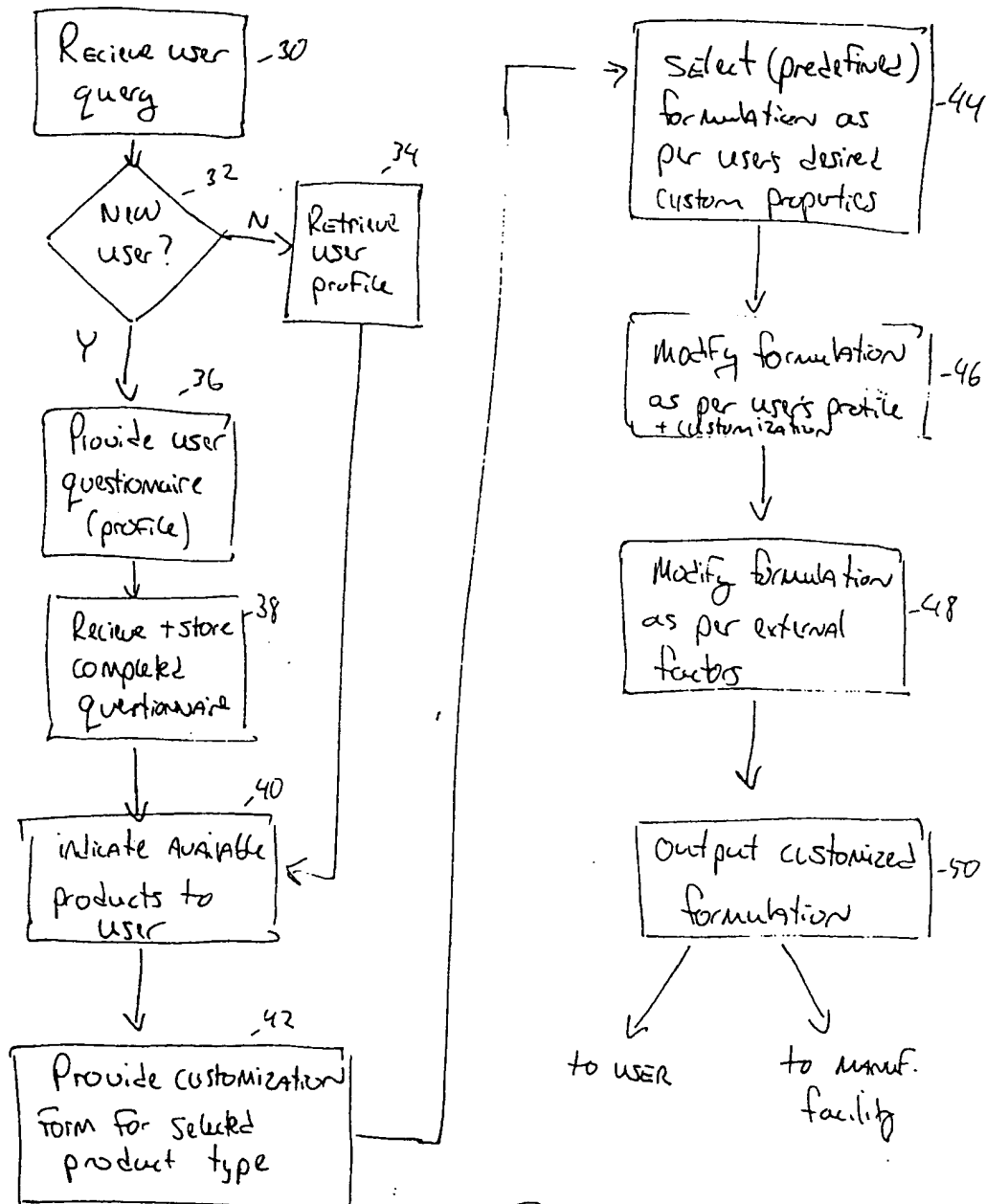
8           output the customized formulation for use by  
9 the user.

1           26. The kit of claim 25, wherein the software  
2 further configures the computer to:

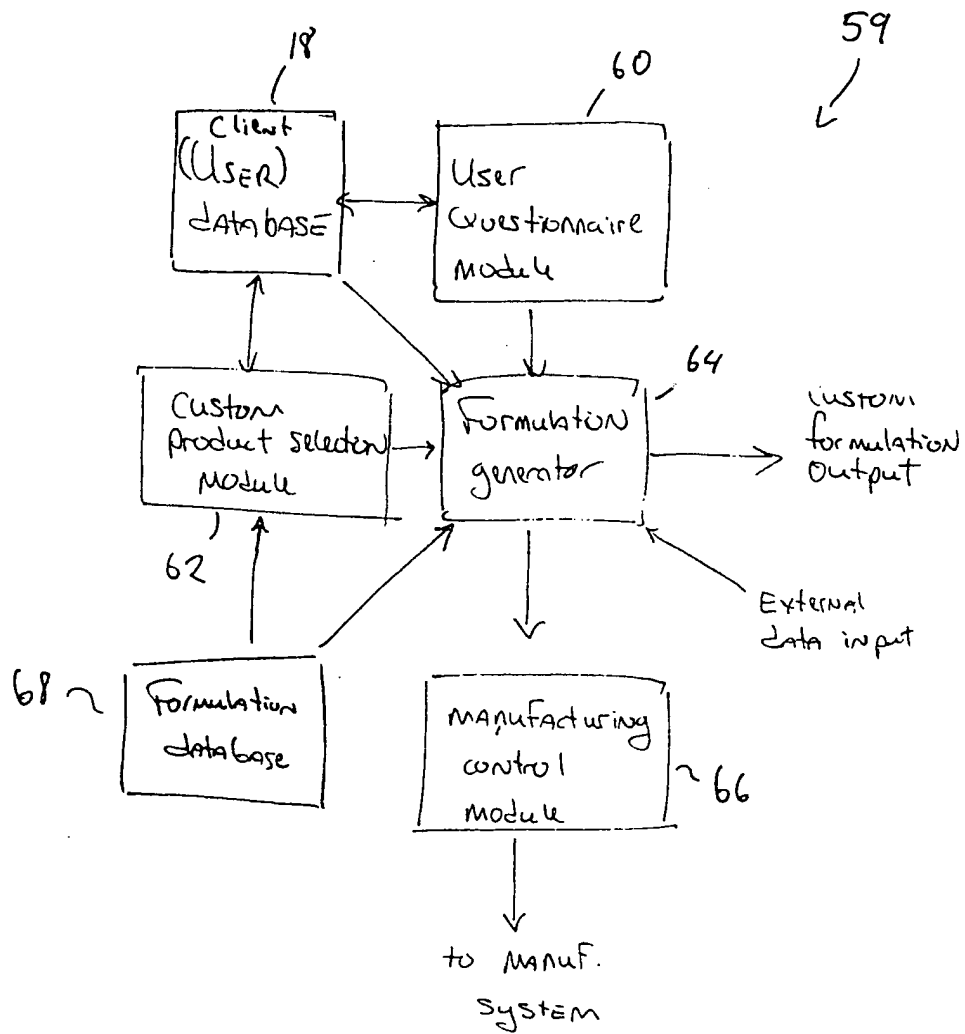
3           generate an initial formulation comprising at  
4 least one predefined partial-formulation in accordance  
5 with the specification; and

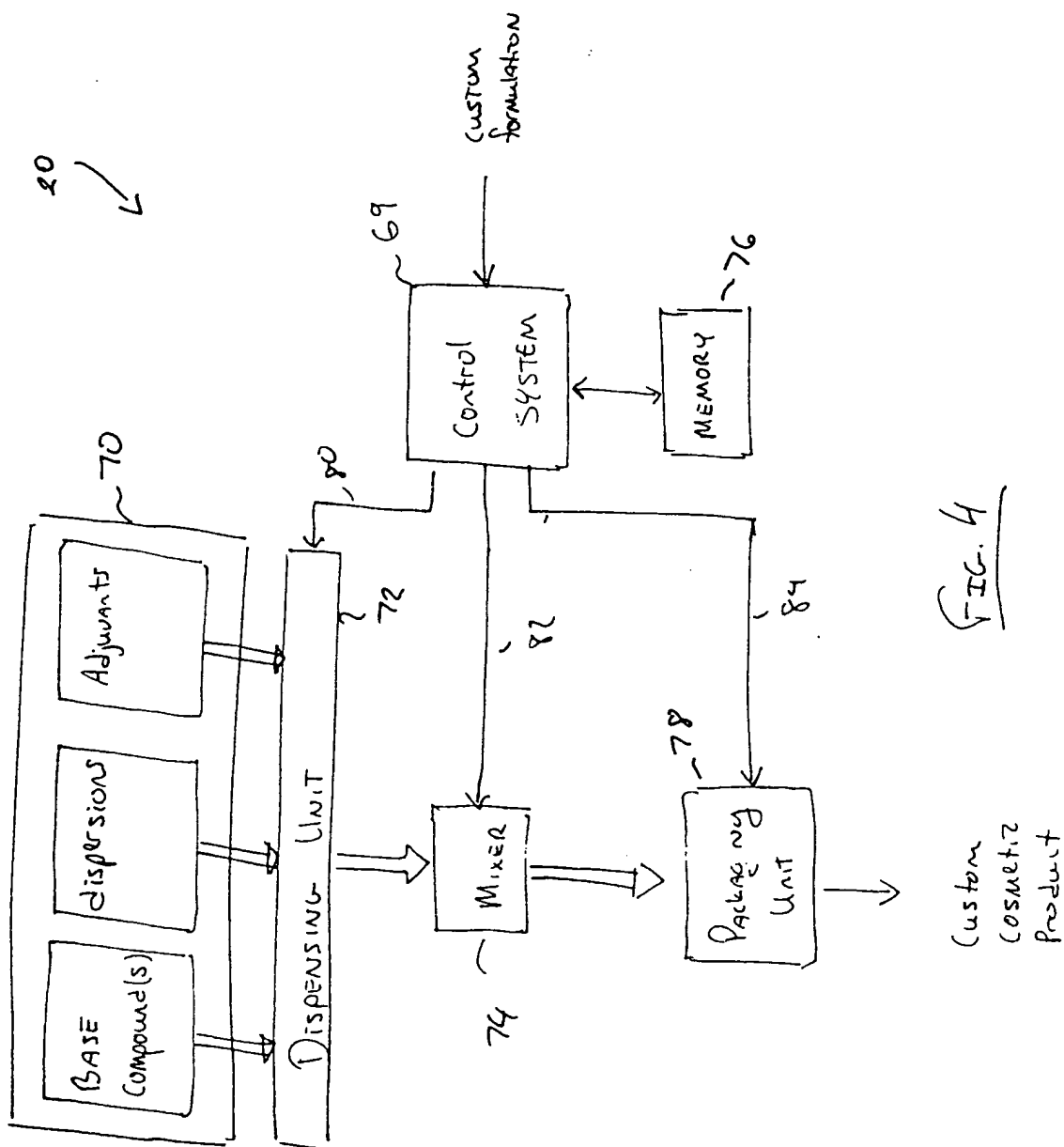
6           modify the initial formulation in accordance  
7 with at least one of a user profile and external factors  
8 to thereby produce the customized formulation.

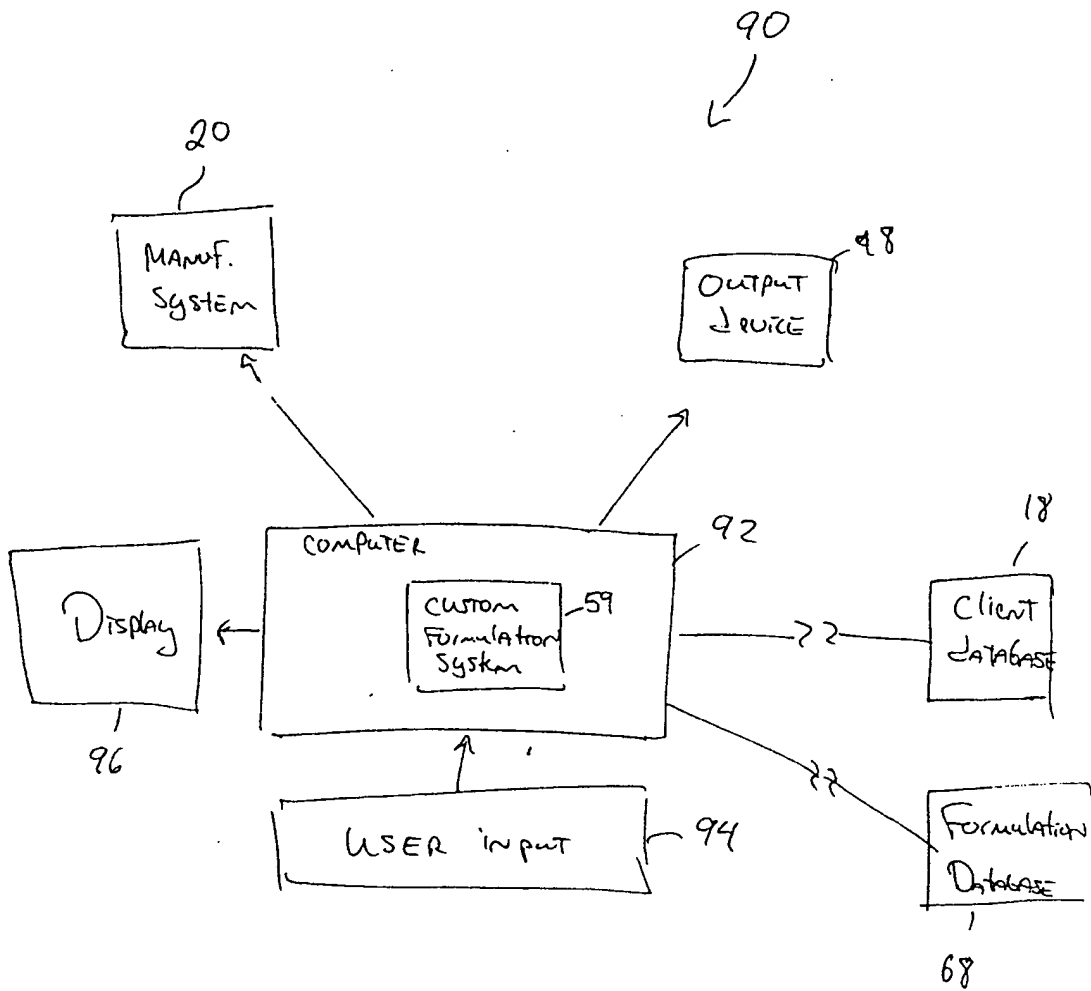
FIG. 1

FIG. 2



FIG. 3



FIG. 5

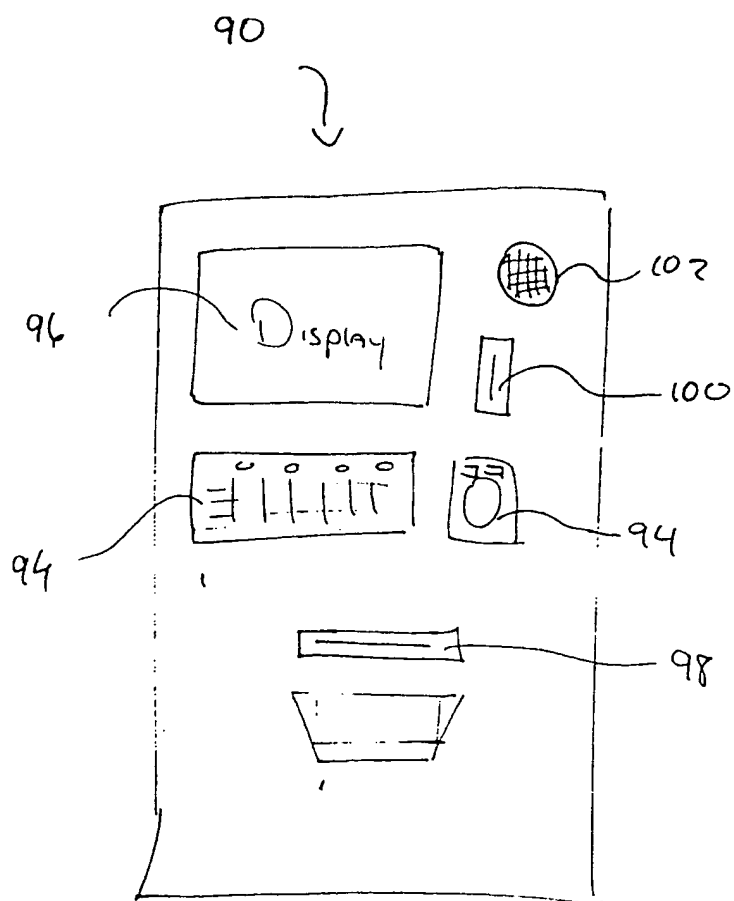
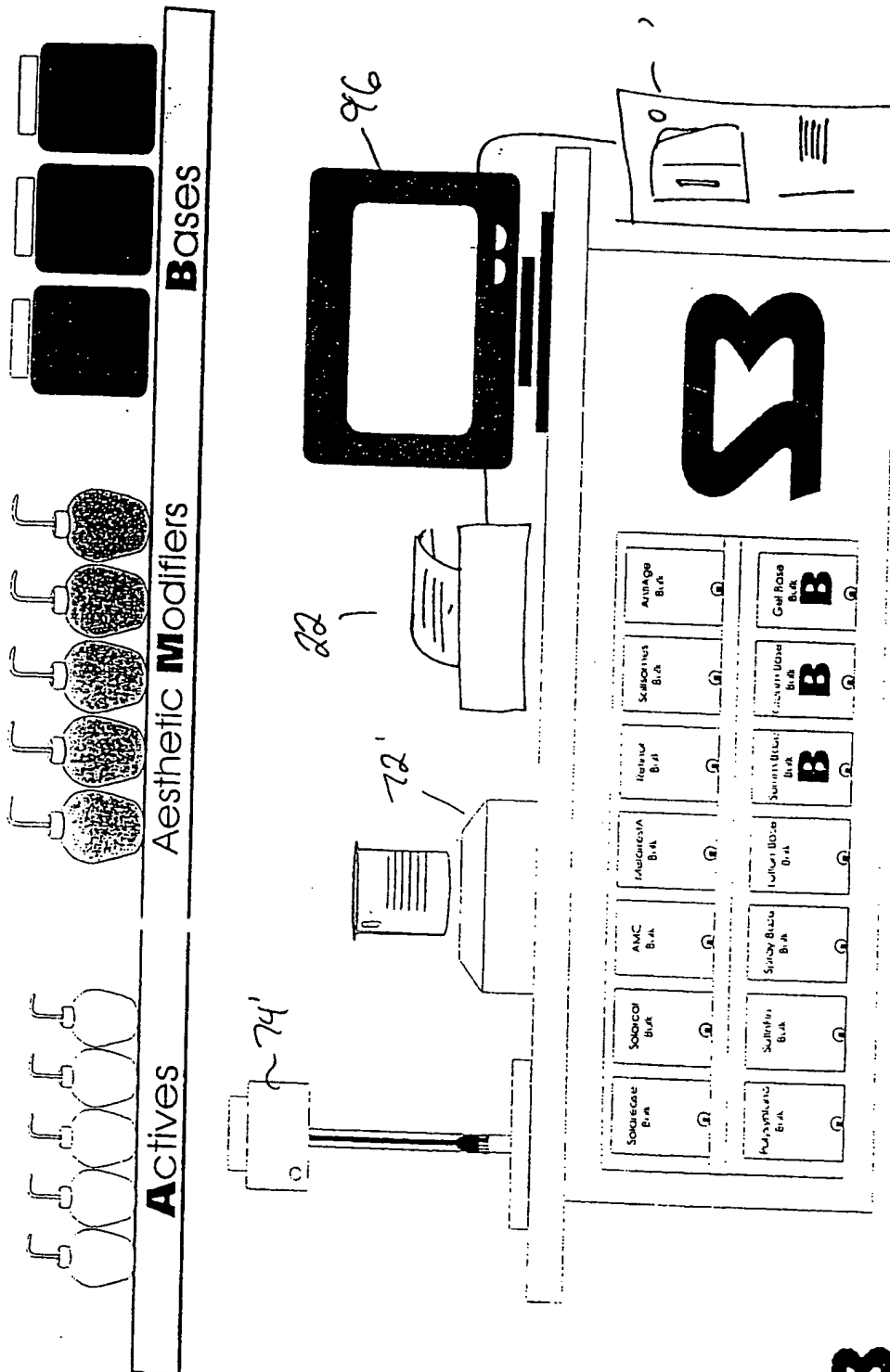


FIG. 6



75



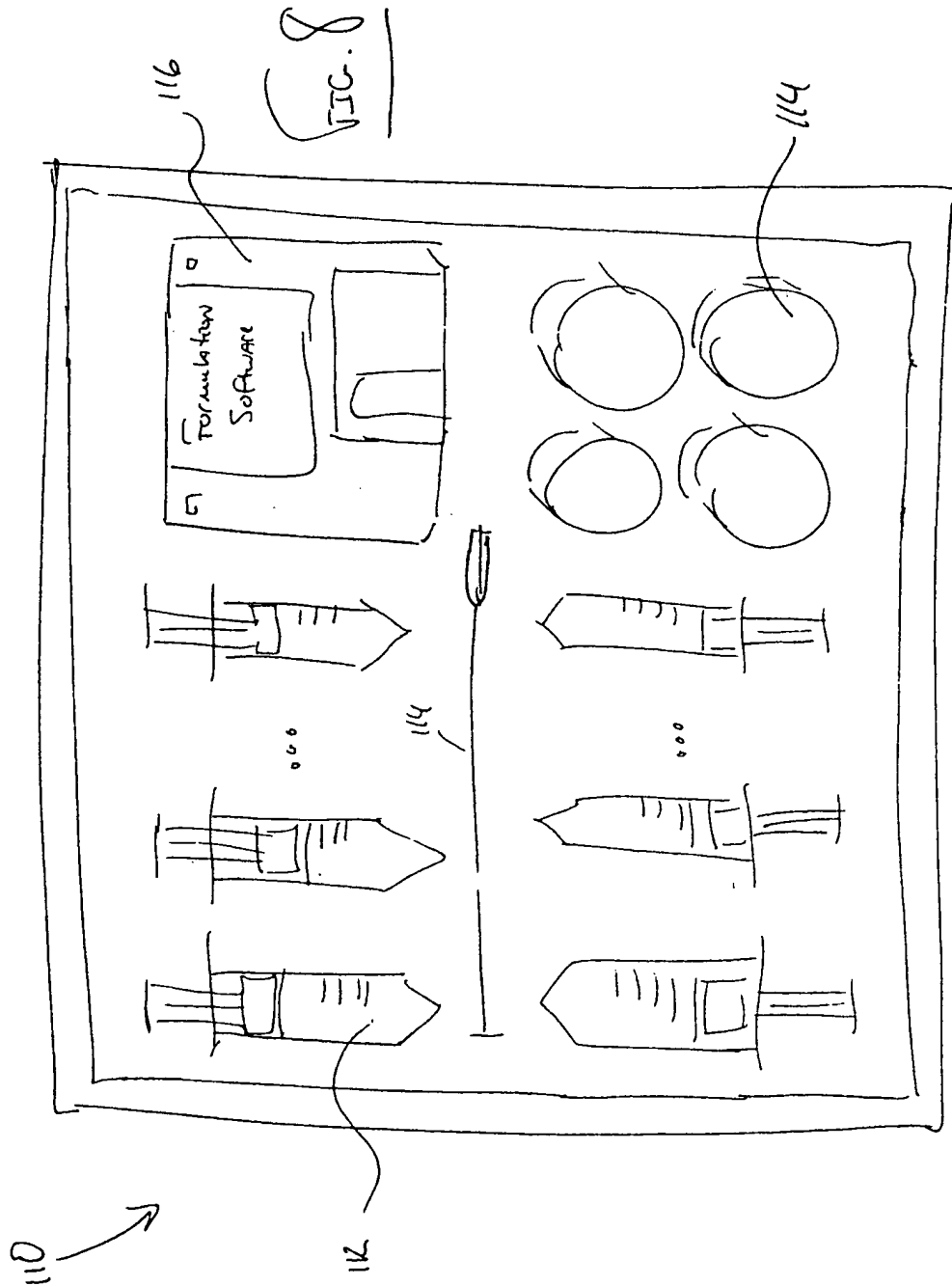
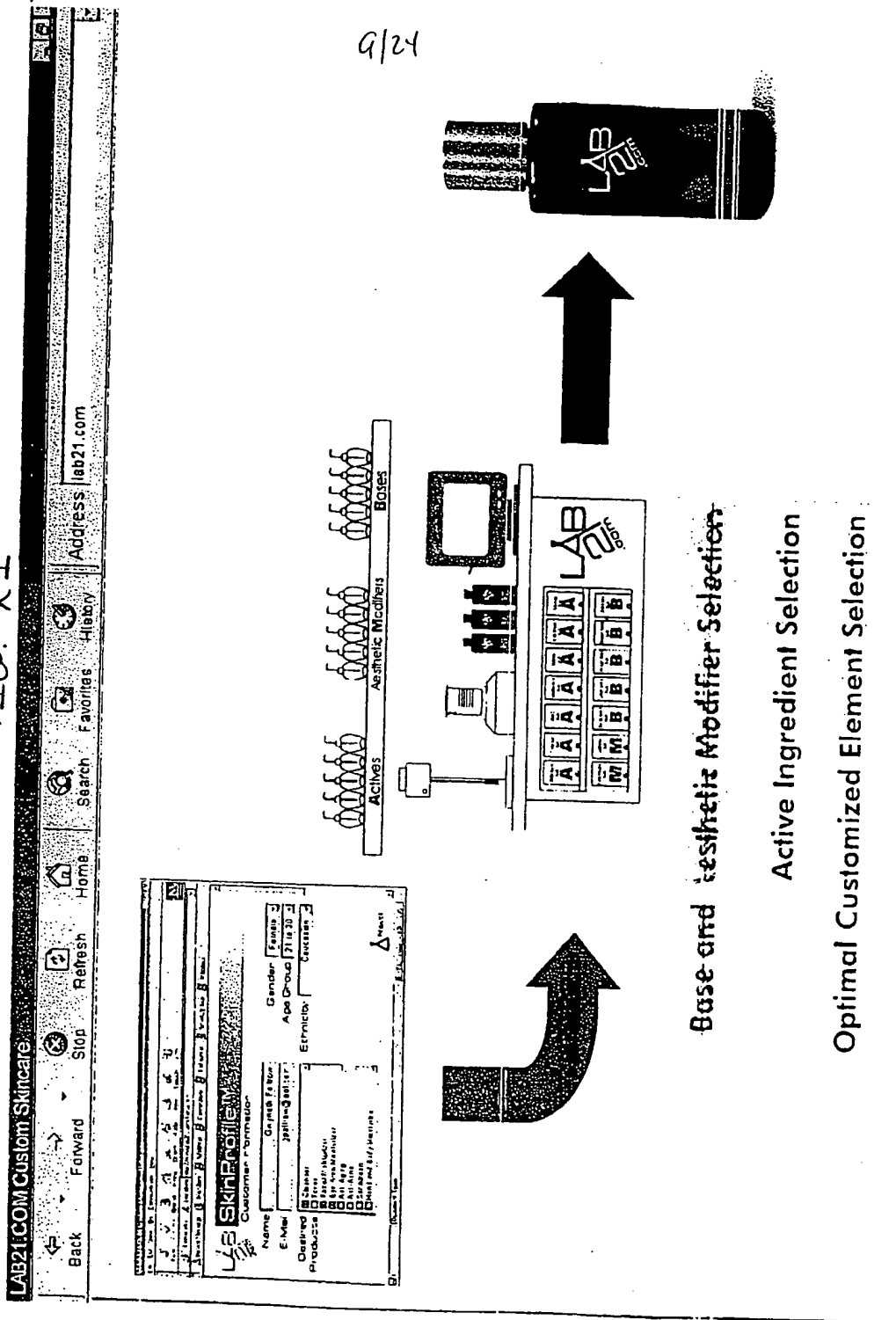


FIG. X1



## General Information

**1 Name**

**Jennifer Anniston**

## 2 e-mail

**JAnniston@aol.com**

### 3) Products Desired

- Soap
- Cleanser
- Facial Scrub/ • Exfoliator
- Toner
- Astringent
- Facial Moisturizer
- Eye Area Moisturizer
- Anti-Aging
- Anti-Acne
- Anti-Bacterial

- Lip
- Mask
- Sunscreen
- Self-Tanner
- Skin Lightener
- Hand Moisturizer
- Body Moisturizer
- Let us make some suggestions

## 4 Gender

Male or Female

## 5) Age Group

- Under 12
- 12 to 15
- 16 to 20
- 21 to 30
- 30 to 40
- 40 to 50
- 50 to 60
- 60 to 70
- 70 to 80
- Over 80

## 6 Ethnicity

- American-Indian
- Asian
- Black
- Caucasian
- Hispanic
- Other

## 7 Character

Please click on the line below in the position that best describes the climate where you will be using your skin care products:

Dry

Wet

1 2 3 4

## 8 Prescription Skincare:

Are you currently using any prescription skincare products prescribed by a physician?

**Yes or No**

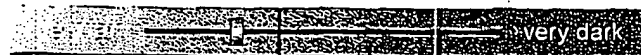
LAB

Fig. X3-A



**9 Natural Skin Coloring**

Please click on the line below in the position that best describes your natural skin coloring:

**10 Response to the Sun**

Please click on the line below in the position that best describes the response of your skin when exposed to the sun:

**11 Sensitivity**

Please click on the line below in the position that best describes your skin sensitivity:

**12 Pore Size**

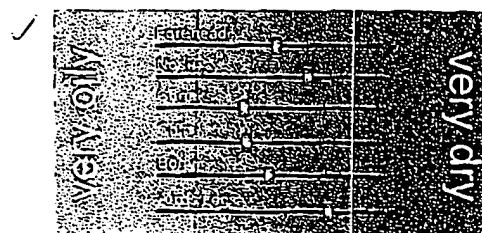
Please click on the line below in the position that best describes pore size:

**13 Breakout**

Please click on the line below in the position that best describes how often your skin tends to breakout:

**14 Your Skin's Average Day**

Please click on the line below in the position that best describes how your skin feels on an average day in the middle of the afternoon:



**15 Sun Exposure**

Please click on the line below in the position that best describes how much time you spend in the sun daily:



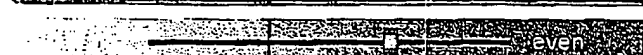
Please click on the line below in the position that best describes how much time you spent in the sun in the past:

**16 Facial Skin Firmness**

Please click on the line below in the position that best rates your facial skin firmness:

**17 Skin Texture**

Please click on the line below in the positions that best describe your skin's texture where you will be applying your products:

**18 Dark Spots**

Please click on the line below in the positions that best rate your dark spots:



**19**

Please indicate below which of the following are of concern to you.  
You may click as many boxes as necessary:

- Acne
  - face
  - chest/back
- Excessive Oiliness
  - forehead
  - nose
  - cheeks
  - back
- Dark Spots
  - face
  - hands
  - forearms
  - chest/back
- Skin Redness
  - cheeks
  - nose
  - hands
- Itchy, Scaly Skin
  - face
  - arms/legs
  - hands
- Loss of Firmness
  - cheeks
  - neck
- Fine Lines and Wrinkles
  - forehead
  - eyes
  - mouth area
- Puffiness Under Eyes
- Dark Circles Under Eyes

Skin Concerns

LAB  
2011

FILE X-3 D

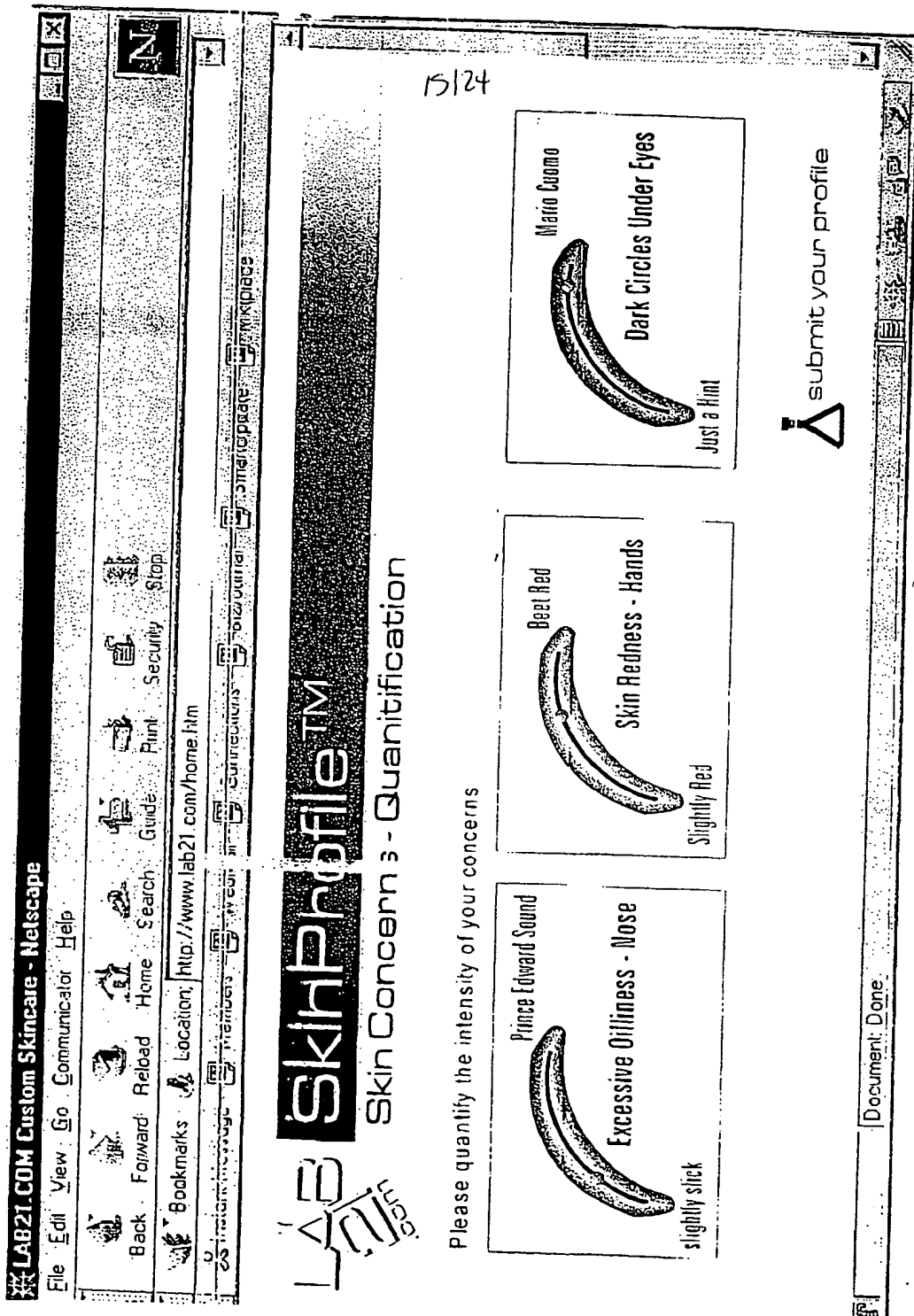
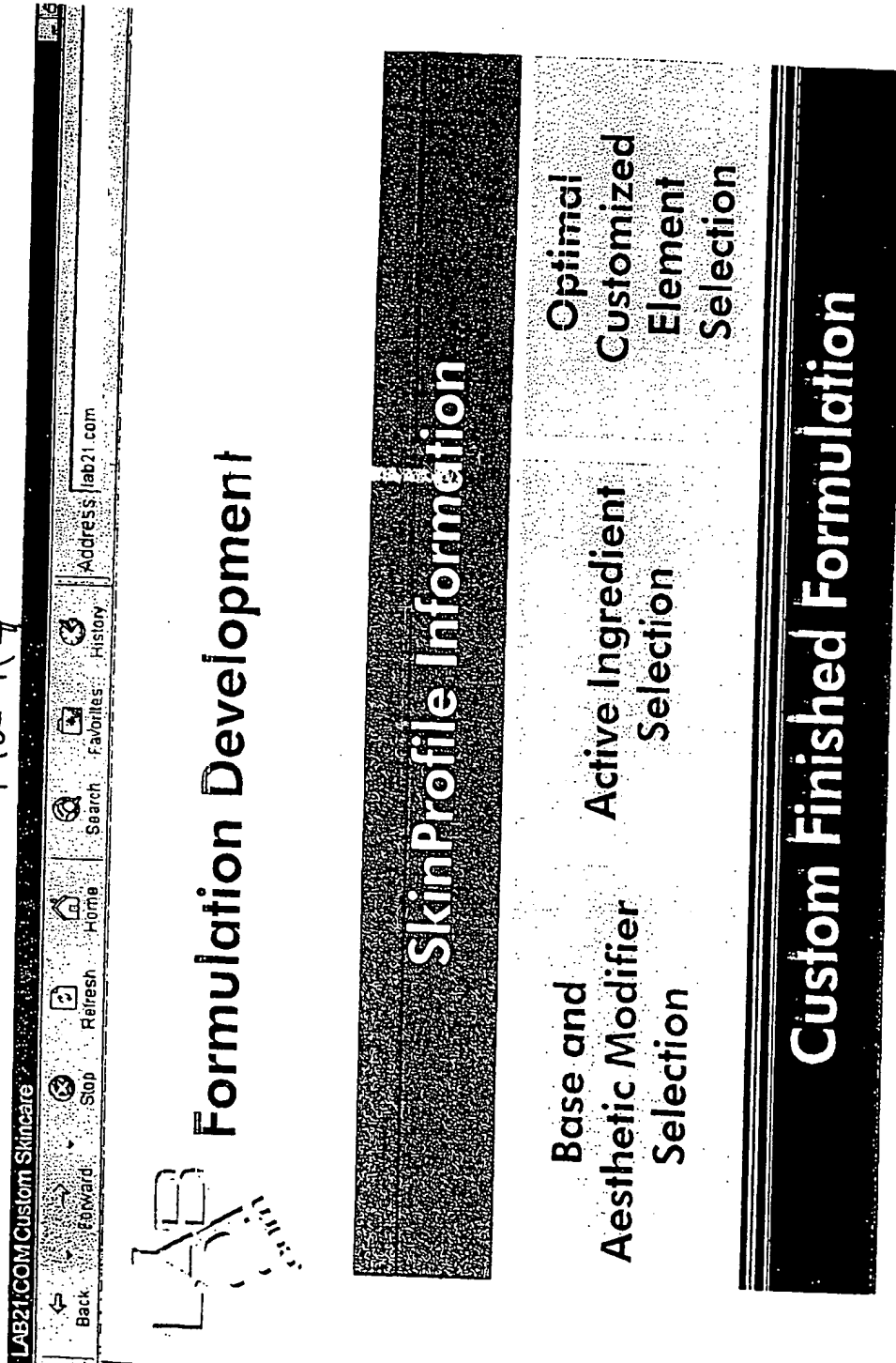


FIG. 12-F

Fig. X4



0004

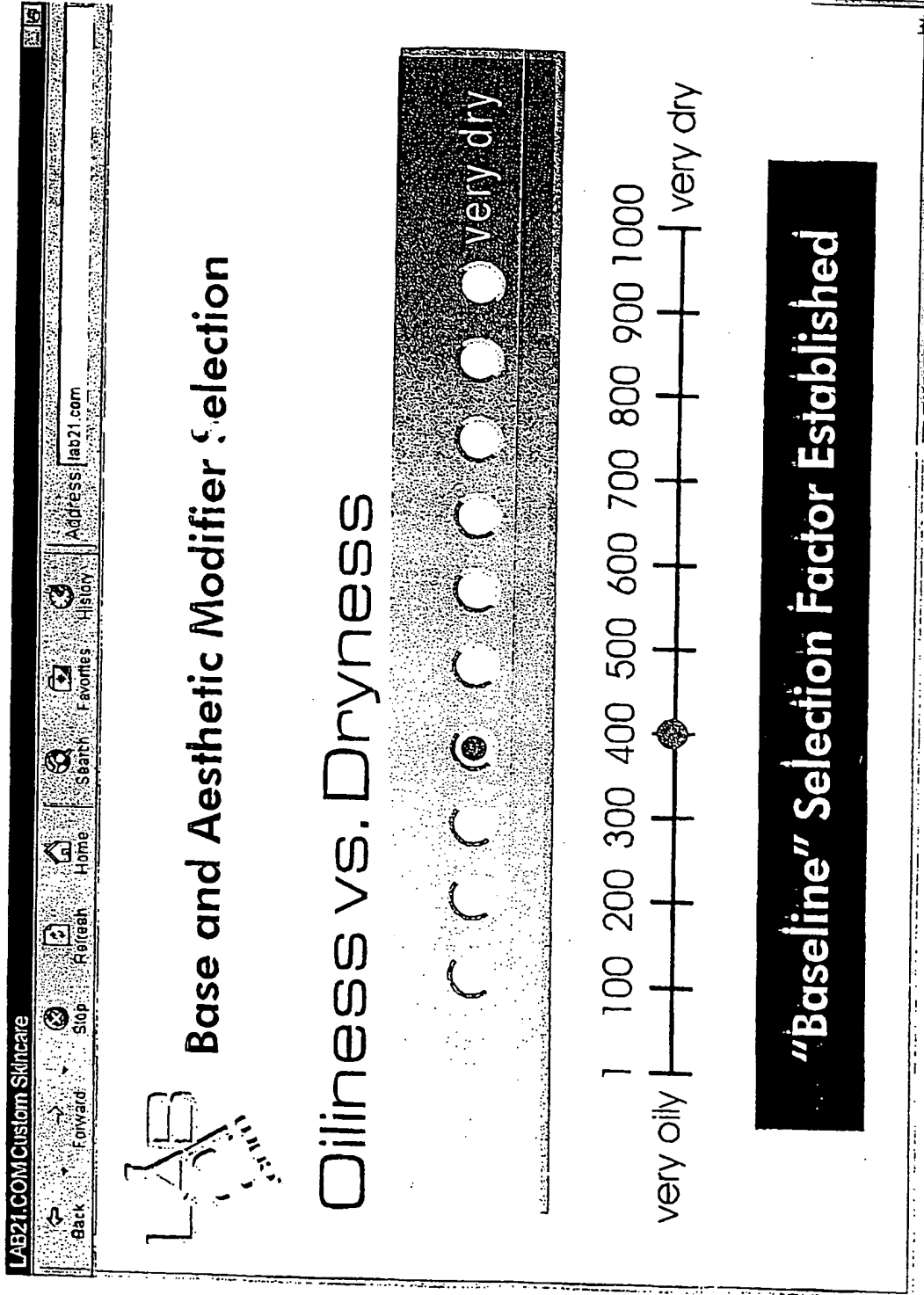


Fig. X.5

DM Custom Skincare

Forward

Stop

Refresh

Home

Search

Favorites

History

Address: lab21.com

# Base and Aesthetic Modifier Selection

## Selection Factor Fine-Tuned with Additional Information

Gender

-10 ☐ Male

+10 ☒ Female

Season

Winter ☒ +15

Fall ☐ +5

Spring ☐ -5

Summer ☐ -15

Skin Sensitivity

None -25

Slightly 0

Very +25

+6

18 | 24

+10

+15

FIG-X6

LAB21.COM Custom Skincare

Back

Forward

Stop

Refresh

Home

Search

Favorites

History

Address

lab21.com

LAB21

Base and Aesthetic Modifier Selection

Selection Factor

Fine-Tuned with Additional Information

Climate

Age

Skin Coloring

-25

0

+25

Damp

Arid

Customer Response - "Los Angeles" = +15

+15

-10

+15

15-20	21-25	26-30	31-35	36-40	41-45	46-50	51-60	61+
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
-20	-15	-10	-5	0	+5	+10	+15	+20
Very Fair	Fair	Pale	Beige	Light Tan	Tan	Olive	Dark	Black
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
+20	+15	+10	+5	0	-5	-10	-15	-20

FIG. X7



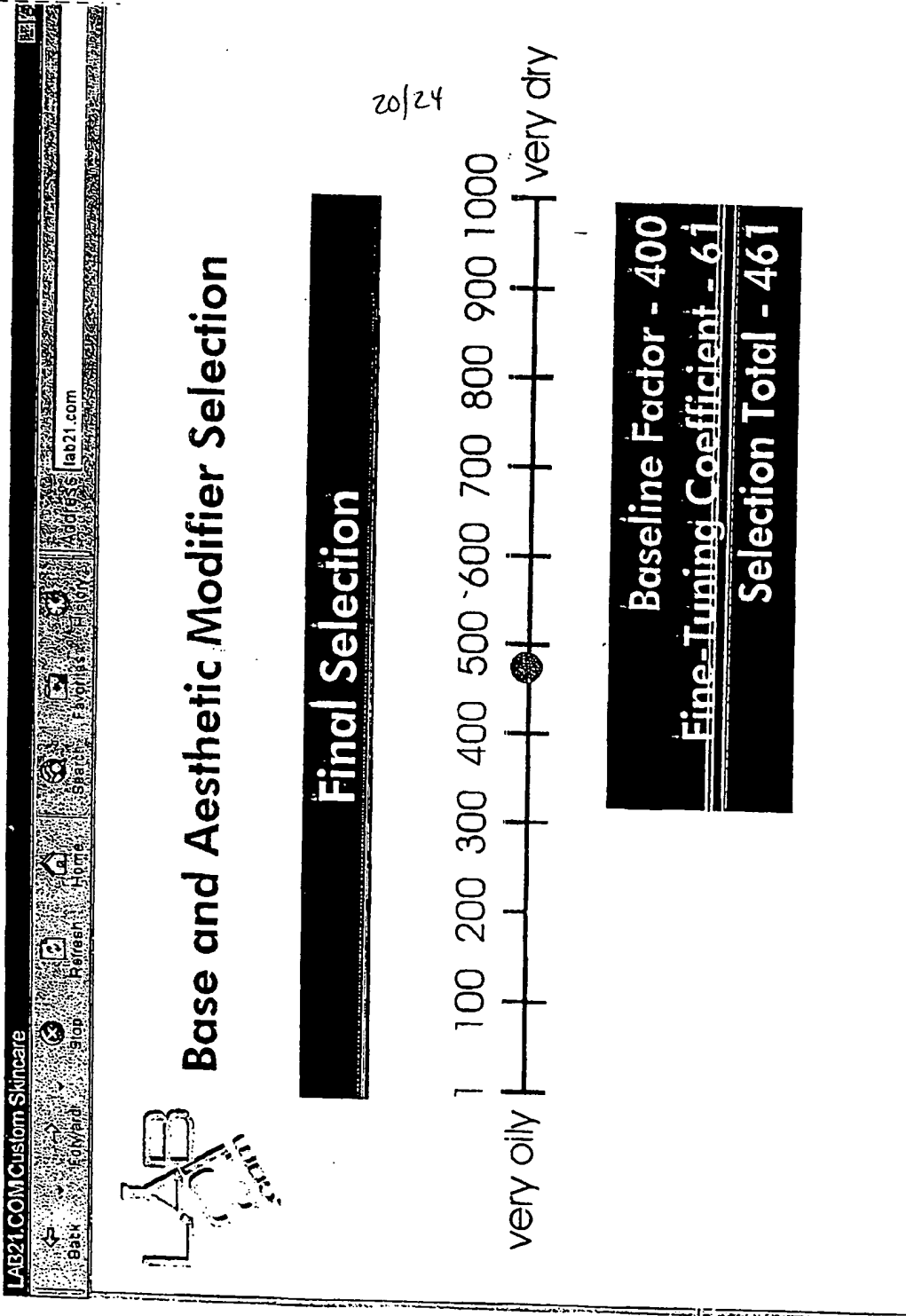
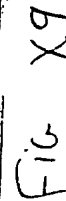


FIG. X8



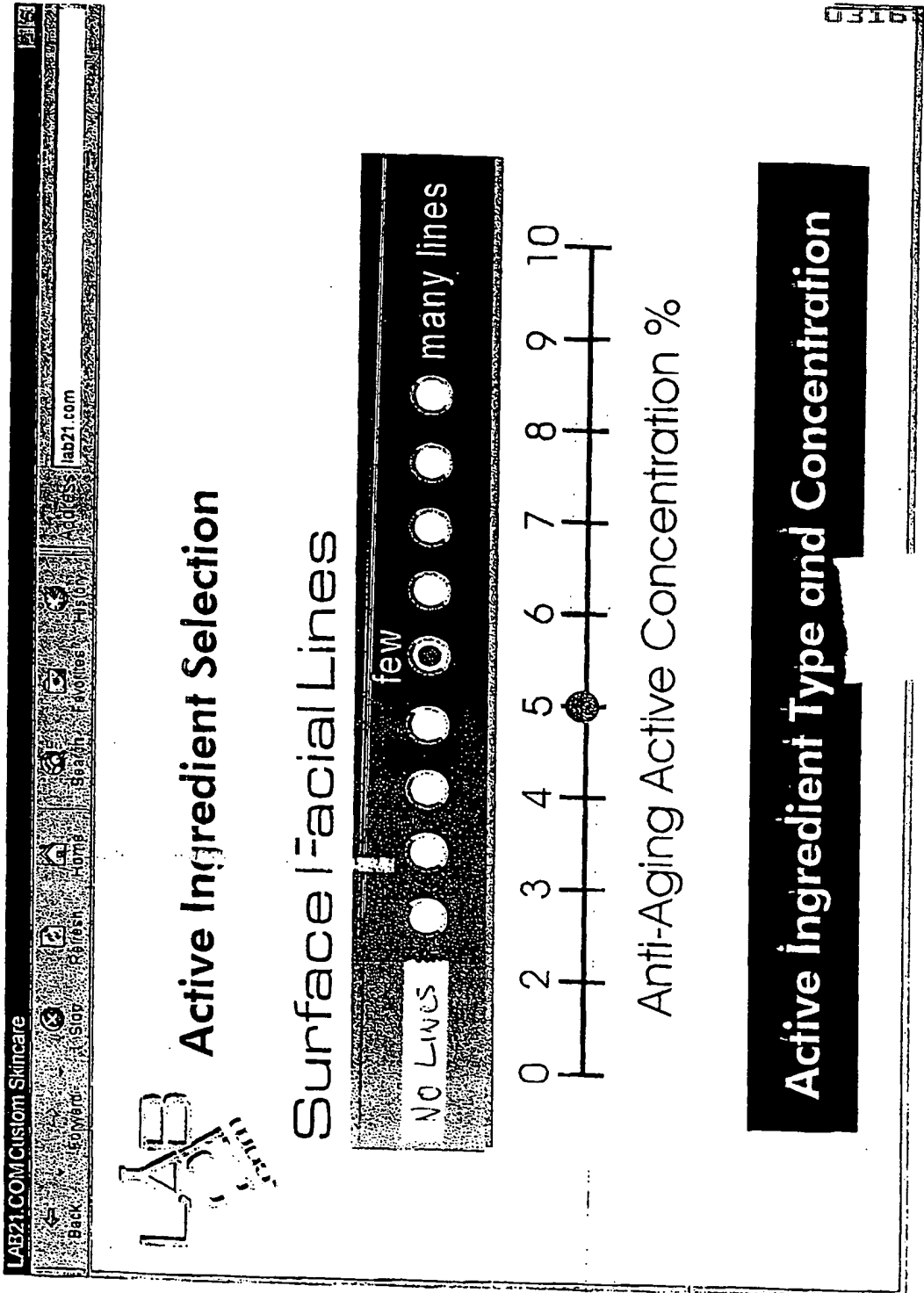


Fig. X10

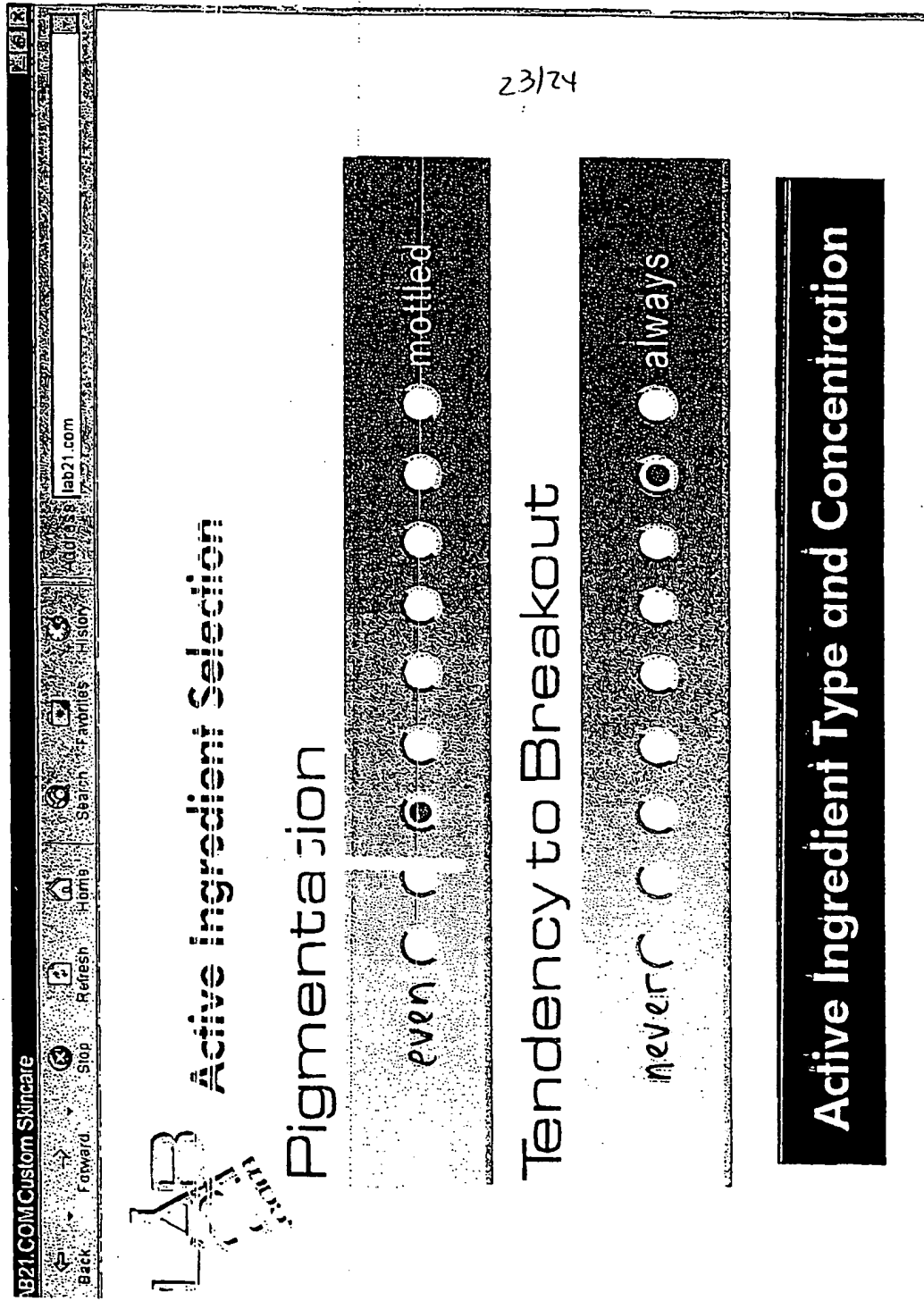


Fig. X11

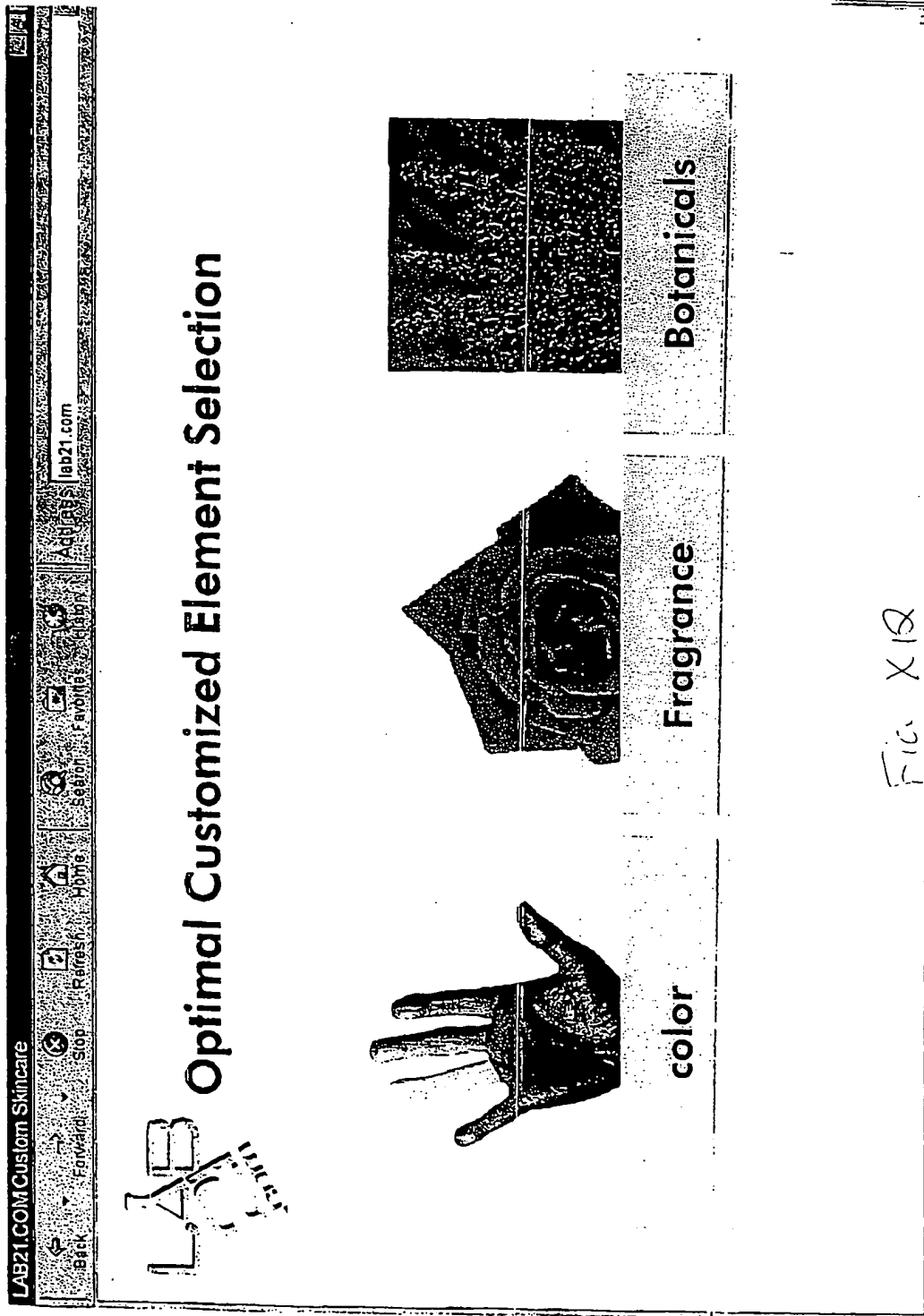


FIG. X18

# PATENT COOPERATION TREATY

# PCT

## DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT

(PCT Article 17(2)(a), Rules 13ter.1(c) and Rule 39)


Applicant's or agent's file reference <b>2055/2G356-Wo</b>	IMPORTANT DECLARATION	Date of mailing(day/month/year) <b>02/07/2001</b>
International application No. <b>PCT/US 01/ 03168</b>	International filing date(day/month/year) <b>31/01/2001</b>	(Earliest) Priority date(day/month/year) <b>31/01/2000</b>
International Patent Classification (IPC) or both national classification and IPC <b>G06F17/60</b>		
Applicant <b>COLLABORATIVE TECHNOLOGIES, INC. et al.</b>		

This International Searching Authority hereby declares, according to Article 17(2)(a), that **no international search report will be established** on the international application for the reasons indicated below

1. ☒ The subject matter of the international application relates to:
  - a. ☐ scientific theories.
  - b. ☐ mathematical theories
  - c. ☐ plant varieties.
  - d. ☐ animal varieties.
  - e. ☐ essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.
  - f. ☒ schemes, rules or methods of doing business.
  - g. ☐ schemes, rules or methods of performing purely mental acts.
  - h. ☐ schemes, rules or methods of playing games.
  - i. ☐ methods for treatment of the human body by surgery or therapy.
  - j. ☐ methods for treatment of the animal body by surgery or therapy.
  - k. ☐ diagnostic methods practised on the human or animal body.
  - l. ☐ mere presentations of information.
  - m. ☐ computer programs for which this International Searching Authority is not equipped to search prior art.
2. ☐ The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out:
 

☐ the description
☐ the claims
☐ the drawings
3. ☐ The failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions prevents a meaningful search from being carried out:
 

☐ the written form has not been furnished or does not comply with the standard.
 ☐ the computer readable form has not been furnished or does not comply with the standard.
4. Further comments:

Name and mailing address of the International Searching Authority  European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer <b>María Rodríguez Nôvoa</b>
--	--

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 203

The subject-matter claimed in claims 1-6 falls under the provisions of Article 17(2)(a)(i) and Rule 39.1(iii), PCT, such subject-matter relating to a method of doing business.

Claims 7-26 relate to a conventional system, computer program product and kit for performing the business method of claims 1-6. Although these claims do not literally belong to the method category, they essentially claim protection for the same commercial effect as the method claims. The International Searching Authority considers that searching this subject-matter would serve no useful purpose. It is not at present apparent how the subject-matter of the present claims may be considered defensible in any subsequent examination phase in front of the EPO as International Preliminary Examining Authority with regard to the provisions of Article 33(1) PCT (novelty, inventive step); see also Guidelines B-VII, 1-6).

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.



Creation date: 11-26-2003  
Indexing Officer: LINSIXIENGMAY - LYANA INSIXIENGMAY  
Team: OIPEBackFileIndexing  
Dossier: 09930320

Legal Date: 02-13-2002

No.	Doccode	Number of pages
1	SPEC	14
2	CLM	5
3	ABST	1
4	OATH	10
5	XT/	1
6	LET.	2

Total number of pages: 33

Remarks:

Order of re-scan issued on .....